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2 **Psychological Readiness to Return to Sport Following Injury: A State-of-the-Art Review**  
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31 **Abstract**

32 Psychological readiness to return to sport (RTS) after injury is a critical and timely area of  
33 research that has received significant research attention of late. Given the increased maturity of  
34 this field of research, it is now time to take stock of recent empirical developments and to chart a  
35 course for future work in this area. The purpose of this state of the-art review was to conduct the  
36 first narrative synthesis examining the literature on psychological readiness to RTS following  
37 injury. This review draws upon a growing body of literature spanning various disciplines (e.g.,  
38 sport medicine, sport psychology, sociology of sport, and military medicine) and  
39 cultures/languages (e.g., Swedish, Italian, Farci, Spanish, Chinese). Our *a priori* aims were to:  
40 (a) examine how psychological readiness to RTS has been conceptualized and operationalized;  
41 (b) review factors that enable (and constrain) psychological readiness to RTS; and (c) consider  
42 implications of readiness to RTS. In the discussion, we offer critical reflections on the research  
43 to date, a definition of psychological readiness, and propose novel hypotheses and research  
44 questions for the next wave of research.

45

## 46 **Introduction**

47 Musculoskeletal injury is common among athletes (Arthur-Banning et al., 2018;  
48 Hootman et al., 2007). Once injured, the ultimate aim of many athletes is to return to sport (RTS;  
49 Podlog, Banham, Wadey & Hannon, 2015). As athletes achieve physical healing and functional  
50 rehabilitation progressions, the question of when the athlete is ready to RTS becomes  
51 increasingly relevant – both to the athlete and key stakeholders (e.g., teammates, coaches,  
52 administrators, medical team, and parents; Podlog et al., 2015). Traditionally, decisions  
53 regarding athletes' readiness to RTS have been based exclusively on assessment of physical  
54 function (Podlog et al., 2015). The assumption underlining physical test batteries to return is that  
55 individuals who pass them are ready to perform at or exceed previous performance standards and  
56 are less likely to incur re-injury or a new injury. Increasing evidence, however, suggests there  
57 may be problems with that assumption (Cheney et al., 2020; de Mille & Osmak, 2017; Webster  
58 & Hewett, 2019). In their meta-analytic examination of physical return-to-sport (RTS) tests after  
59 ACL surgery, Webster and Hewett (2019) found that only one out of 18 studies showed that  
60 passing RTS test batteries led to greater RTS rates. Counterintuitively, passing a RTS test battery  
61 increased the risk for a subsequent contralateral ACL injury (RR = 3.35 [95% CI 1.52-7.37]).  
62 These findings suggest that commonly employed tests (e.g., agility, strength, muscle mass/size)  
63 designed to assess athletes' readiness to RTS and avoid re-injury may be inadequate.

64 Towards a more multidisciplinary perspective, it has been suggested that psychology  
65 (i.e., thoughts, feelings, behaviours) can also play an important role in better understanding the  
66 nature of athletes' readiness to RTS and in developing inventories to evaluate it (Ardern et al.,  
67 2014; Glazer, 2009; Podlog et al., 2015; Thomeé et al., 2007). Heeding this recommendation,  
68 sport science scholars have proposed the concept of 'psychological readiness', studied factors

69 that facilitate and impede psychological readiness, and examined implications of it (Conti et al.,  
70 2019; Glazer, 2009; Gómez-Piqueras et al., 2014; Thomeé et al., 2007; Webster et al., 2008).  
71 Building from several preliminary studies (e.g., Glazer, 2009; Podlog et al., 2015; Webster et al.,  
72 2008), the issue of psychological readiness has received significant research attention of late,  
73 including work emanating from different countries, various research philosophies, and a  
74 multitude of sports. It is now time to take stock of this first wave of research and to identify  
75 fruitful avenues for further inquiry. Consistent with the typology of reviews offered by Grant and  
76 Booth (2009), our aim in the present paper was to conduct a state-of-the-art review on  
77 psychological readiness research. This type of review seemed appropriate for several reasons.  
78 First, given the proliferation of research on psychological readiness within the past 10 years, it  
79 seemed prudent to take stock of the current knowledge regarding the nature of psychological  
80 readiness and its implications for various post-injury outcomes. Second, despite a significant  
81 increase in interest in the topic of psychological readiness, there remains conceptual ambiguity.  
82 According to Grant and Booth (2009), state of the art reviews "... may offer new perspectives on  
83 an issue" (p. 95). As such, part of our aim was to review the literature to construct and propose a  
84 nomothetic definition of the concept of psychological readiness. Third, key features of a state-of  
85 the-art review – namely, a "narrative" synthesis of information with the option of tabular  
86 accompaniment, and articulation of priorities for future investigation – were consistent with our  
87 aims in the current review (Grant & Booth, 2009; Greenhalgh, Thorne & Malterud, 2018).

## 88 **Method**

89 According to Grant and Booth (2009) there are no standardized methodologies for  
90 conducting state-of-the-art reviews or any formal mechanisms for quality assessment. In  
91 conducting our literature search, our aim was not to be exhaustive or to employ methodologies

92 such as a systematic or scoping review. Nonetheless, in an effort to ascertain recent and/or  
93 relevant articles, our search was guided by the key question: “what is known about psychological  
94 readiness to return to sport?” We did a preliminary search in four databases using the  
95 EBSCO*host* platform (SPORTDiscus with Full Text, Medline, APAPsycInfo). As part of the  
96 preliminary search, we also examined Google Scholar, PubMed, and Scopus. We used  
97 combinations of the following search terms: ‘psychological readiness’; ‘return to sport; ‘injury’;  
98 ‘musculoskeletal’; ‘concussion’; ‘mild traumatic brain injury’; ‘assessment’; ‘inventory’;  
99 ‘psychosocial’; and ‘fear of re-injury’. Search terms were grouped using the Boolean operator  
100 ‘OR’ and terms listed above were combined using ‘AND.’ Inclusion of relevant literature was  
101 also maximized by the fact that our team of authors was comprised of an international group of  
102 subject matter experts on the psychological aspects of sport injury. A follow-up search was also  
103 conducted in conjunction with two research librarians from the lead author’s institution (Meert,  
104 Torabi & Costella, 2016). The search was conducted in Medline from Ovid to ensure that articles  
105 germane to the topic at hand were retrieved. A combination of key words and controlled subject  
106 headings was used (see Appendix).

107         The first author screened titles and abstracts for eligibility criteria. Inclusion criteria for  
108 this review included: (a) any original study or literature review with the *a priori* aim of  
109 examining psychological readiness after injury; (a) any study that had psychological readiness as  
110 either an independent or dependent variable; and (a) any article that included reference to  
111 psychological readiness in the title of the article or abstract, irrespective of date of publication.  
112 Conference abstracts, dissertations, book chapters, non-English articles, and articles that outlined  
113 a study protocol but did not report empirical data, were excluded. Although non-English articles  
114 were excluded, there was one Spanish article by Gómez-Piqueras et al. (2014) in which the

115 authors developed a psychological readiness measure. The authors subsequently reported further  
116 validation in an English publication (Gómez-Piqueras, Ardern, et al., 2020). Given the relevance  
117 of the 2014 publication for the present review it was included in our analysis. Google translate  
118 was used to examine contents of the 2014 article. For any articles whose eligibility was unclear,  
119 the first author reviewed the full-text article. In any instances of further uncertainty, the authors  
120 reviewed the abstract or full-text article, and consensus reached through discussion. Following  
121 our database search, a manual search of the reference lists of all relevant articles was completed.

122 Studies were grouped into themes deductively based on their fit with our *a priori* aims of  
123 exploring inventories/studies examining the nature of psychological readiness (or psychometric  
124 tests of it), predictors of psychological readiness, or outcomes of it. Any disagreements about the  
125 categorization of studies into specific themes were resolved through discussion between the first  
126 and seventh author. All authors agreed on the final categorizations.

127 Consistent with our review aims, our presentation of results is divided into three sections.  
128 In section one, we examine how psychological readiness to RTS has been conceptualized and  
129 operationalized. Section one, also provides results of psychometric tests of various readiness  
130 inventories. In section two, we review predictors of psychological readiness to RTS, and in  
131 section three, we examine implications of readiness to RTS. Finally, in the discussion, we offer  
132 various critical reflections regarding research on psychological readiness, we define  
133 psychological readiness, and offer novel hypotheses for future research testing.

## 134 **Results**

### 135 **What is Psychological Readiness to Return to Sport After Injury?**

#### 136 *Quantitative Approaches*

137 Three separate psychological readiness inventories have been developed, each offering a  
138 different operationalization of the construct: (1) Injury-Psychological Readiness to Return to  
139 Sport Scale (I-PRRS; Glazer, 2009); (2) Anterior Cruciate Ligament-Return to Sport after Injury  
140 Scale (ACL-RSI; Webster et al., 2008); and (3) Psychological Readiness of Injured Athlete to  
141 Return to Sport (PRIA-RS) Questionnaire for Injured Soccer players (Gómez-Piqueras et al.,  
142 2014). Three additional measures assess related – albeit conceptually distinct and/or constituent  
143 phenomena – namely, fear of movement (the Tampa Scale of Kinesiophobia [TSK]; Miller et al.,  
144 1991); anxiety associated with re-injury (Re-Injury Anxiety Inventory [RIAI]; Walker et al.,  
145 2010); and perceived self-efficacy in engaging in current and future activities (Knee Self  
146 Efficacy Scale (K-SES; Thomeé et al., 2007). Interestingly, researchers constructing quantitative  
147 measures of psychological readiness (Glazer, 2009; Gómez-Piqueras et al., 2014; Webster et al.,  
148 2008) appear to have attempted to measure the construct without having a clear conceptual  
149 understanding of what the construct actually is – a problematic described in further detail in the  
150 discussion. Table 1 summarizes various psychological readiness (and associated) measurement  
151 tools, as well as their constituent subscales. Nomothetic, injury-specific and sport-specific  
152 measures of psychological readiness are described in the following subsections.

153 [insert Table 1 here]

154 **Nomothetic Measure.** Glazer (2009) developed the I-PRRS scale, a unidimensional  
155 measure, to assess the extent to which athletes feel confident in their ability to perform well upon  
156 return to sport (Glazer, 2009). Using the Delphi survey method, Glazer solicited expert opinions  
157 from a panel of 7 individuals (4 certified athletic trainers who were also academic faculty and 3  
158 coaches from NCAA Division III schools) who were instructed to “provide suggestions and  
159 questions that could be used on a scale to measure the construct of psychological readiness (p.

160 186).” The panel submitted 22 items which were subsequently reduced to 10 items, eliminating  
161 items that were sport or environment specific or not appropriate for all returning athletes.  
162 Example items include: “My overall confidence to play is;”, My confidence to play without pain  
163 is”, and “My confidence to not concentrate on the injury is”.

164 Glazer (2009) reported initial reliability as well as, content, concurrent, and external  
165 validity. Glazer also measured psychological readiness at four time points – after injury, before  
166 practice, before competition, and after competition. Repeated-measures ANOVA with  
167 polynomial contrast revealed a quadratic trend ( $F_{1,21} = 68.26, P < .001$ ), indicating differences in  
168 I-PRRS scores between intervals. An increase occurred immediately after injury to before  
169 practice and from before practice to before competition. No difference was found between before  
170 competition and after competition. These findings indicate that psychological readiness –  
171 conceptualized solely as confidence in this measure – continues to improve as physical function  
172 increases over the course of rehabilitation, likely leveling off as athletes’ transition from sport  
173 specific training to competitive play.

174 Psychometric testing of the I-PRRS has occurred in a sample of professional soccer  
175 players ( ) and various language translations, including: Dutch  
176 (Slagers, Akker-Scheek, et al., 2019; Slagers, Reininga, et al., 2019; Vereijken et al., 2019),  
177 Persian (Naghdi et al., 2016), and Italian (Conti et al., 2019). Consistent with the original  
178 formulation, confirmatory factor analysis in a sample of 113 injured professional soccer players  
179 from 17 international leagues ( ) revealed a unidimensional factor  
180 structure, good internal consistency ( $\omega = .88$ ) and longitudinal measurement invariance (i.e.,  
181 whether the same construct(s) are measured equally at different time-points ensuring that the  
182 development in scores can be attributed to development in the construct under investigation).



183 Factor analysis in the Persian sample revealed a two-factor solution, “Confidence to play” (items  
184 1 and 2 from the original version) and “Confidence in the injured body part and skill level”  
185 (items 3-6 from the original version). Similarly, confirmatory factor analysis in the Italian  
186 sample demonstrated a two-factor solution consisting of “confidence in performance capability”  
187 (items 1,3,5 from the original version) and “confidence in recovery” (items 2,4,6 from the  
188 original version). The above findings suggest some uncertainty as to whether the I-PRRS  
189 represents a one or two factor scale. When working with small data sets, Costello and Osborne  
190 (2005) suggest that a stable factor should be comprised of at least 5 strongly loading items (i.e.,  
191 .50 or better). Based on this recommendation, researchers and clinicians may wish to adopt a  
192 unidimensional scale, consistent with Glazer’s (2009) original scale development.

193 ***Injury-Specific Measure.*** Moving beyond Glazer’s unidimensional operationalization of  
194 psychological readiness, Webster and colleagues (2008) established the multidimensional,  
195 injury-specific ACL-Return to Sport after Injury (ACL-RSI) Scale to assess athletes: (1)  
196 emotions (“Are you nervous about playing your sport?”; “Do you find it frustrating to have to  
197 consider your knee with respect to your sport?”; “Do you feel relaxed about playing your  
198 sport?”), (2) confidence in performance (“Are you confident that your knee will not give way by  
199 playing your sport?”; “Are you confident that you could play your sport without concern for your  
200 knee?”; “Are you confident about your ability to perform well at your sport?”), and (3) risk  
201 appraisal (“Do you think you are likely to re-injure your knee by participating in your sport?;  
202 “Do thoughts of having to go through surgery and rehabilitation again prevent you from playing  
203 your sport?”) when returning to sport after ACL reconstructive surgery. Items were developed  
204 based on a review of literature pertaining to responses associated with the return to sport phase.  
205 Items reflecting the three subscales – emotions, confidence in performance and risk appraisal –

206 were incorporated into a 12-item ACL-Return to Sport after Injury (ACL-RSI) scale. Webster et  
207 al. (2008) suggest use of a single score in which the 12-items are summed and averaged.

208         The ACL-RSI has received further validation in numerous translations, including: Italian  
209 (Thiebat et al., 2021), Swedish (Kvist et al., 2013), Dutch (Slagers et al., 2017), Spanish (Sala-  
210 Barat et al., 2020), Norwegian (Faleide et al., 2020), Brazilian (Silva et al., 2018), French (Bohu  
211 et al., 2015), Chinese (Jia et al., 2018), Japanese (Hirohata et al., 2020), and Korean samples (Ha  
212 et al., 2019). Slagers, Akker-Scheek et al. (2019) also demonstrated sufficient responsiveness of  
213 the Dutch ACL-RSI (the ability to detect clinically important changes over time) among seventy  
214 patients with ACL reconstruction who completed the scale twice, once upon entry into the study  
215 and 2 months after initial questionnaire completion. Slagers, Reininga, et al. (2019) concluded  
216 that the ACL-RSI can be used to investigate the effectiveness of an intervention at the group  
217 level and can be used in cross-sectional research and in clinical practice as a screening instrument to  
218 identify patients at risk of not returning to sport. Face, structural and construct validity, internal  
219 consistency, test-retest reliability and measurement error have all been demonstrated across the  
220 various studies. The Norwegian ACL-RSI has also demonstrated good face validity with factor  
221 analysis indicating that the use of a sum score is reasonable. Internal consistency and test-retest  
222 reliability were excellent ( $\alpha = 0.95$ , ICC 0.94 (95% CI, 0.84–0.97) and measurement error low  
223 (SEM 5.7). Smallest detectable change  $SDC_{ind}$  was 15.8 points and  $SDC_{group}$  was 2.0 (Faleide et  
224 al., 2020). In the case of psychological readiness, use of test-retest reliability seems  
225 questionable. Test-retest reliability is most appropriate when the underlying construct is stable.  
226 Given Ohji et al. (2021) findings that ACL-RSI scores increased significantly from preoperative  
227 assessment to 6-months post-ACL reconstruction, examination of split-half reliability seems  
228 more appropriate than test-retest reliability.

229 Reflecting a shift towards the development of injury-specific measures, a short 6-item  
230 (Webster & Feller, 2018), and slightly amended versions of the ACL-RSI exist for use with  
231 shoulder (SI-RSI; Gerometta et al., 2018), hip arthroscopy (Hip-RSI; Jones, Webster, et al.,  
232 2020; Wörner et al., 2021), and ankle instability patients (ALR-RSI; Sigonney et al., 2020).  
233 Gerometta et al. (2018) assessed the psychological readiness to RTS after traumatic shoulder  
234 instability (SIRSI). The SIRSI demonstrated strong internal consistency ( $\alpha = .96$ ), excellent  
235 reproducibility of the test-retest ( $p = 0.93$ , 95% CI [0.89-0.96], and no ceiling/floor effects.  
236 Additionally, the SI-RSI was strongly correlated with reference questionnaires ( $r = .80$ ,  $p < 10^{-5}$ ),  
237 providing evidence of convergent validity. Recent validation of the SI-RSI by Olds and Webster  
238 (2021) revealed a four-factor structure, namely, performance confidence, reinjury fear and risk,  
239 emotions, and rehabilitation and surgery. Wörner et al. (2021) also modified the Swedish version  
240 of the ACL-RSI scale for use in patients undergoing hip arthroscopy. Item reduction resulted in a  
241 6-item Hip-RSI scale with adequate content validity for the target population. Construct validity  
242 of the full and the item-reduced scale was demonstrated by correlation to HAGOS sport and  
243 iHOT12 inventories ( $r_s = 0.631-0.752$ ).

244 ***Sport-Specific Measure.*** A third, sport-specific readiness scale, the Psychological  
245 Readiness of Injured Athlete to Return to Sport (PRIA-RS) questionnaire, was developed to  
246 assess soccer player's psychological readiness to return to sport after injury (Gómez-Piqueras et  
247 al., 2014; Gómez-Piqueras, Ruiz-Barquín, et al., 2020). Preliminary items were developed based  
248 on the authors' review of the psychology of sport injury literature, which led to the creation of  
249 items pertaining to athletes' mood, motivation, coping, self-confidence, and "fear of relapse."  
250 Using a modified Delphi method, the preliminary list of items was reviewed by a panel of 16  
251 experts in psychology, sports sciences, and sport medicine. This process resulted in a 10-item

252 inventory, that purported to assess returning athletes' "confidence, the individual perception, the  
253 insecurity and the fear of re-injury reported by the athlete at the end of the recovery process  
254 (Gómez-Piqueras, Ruiz-Barquín, et al., 2020, p. 2)." Sample items include: "How do you  
255 evaluate the progression you have experienced during the rehabilitation/sport functional recovery  
256 period since your injury?"; "How is your mood?"; "What is your physical state in view of a  
257 potential return to the team?"; and "Are you feeling nervous about returning to regular training  
258 with the team?". Good convergent and divergent validity, reliability, internal consistency, and  
259 external psychometric examination (evaluating measures of patient-reported outcomes  
260 [EMPRO]) have been reported (Gómez-Piqueras, Ardern, et al., 2020).

261 ***Related-Measures.*** Although no other quantitative measures explicitly address the  
262 construct of psychological readiness, researchers have developed three other injury relevant  
263 measures – the Tampa Scale of Kinesiophobia (TSK; Miller et al., 1991), the Re-Injury Anxiety  
264 Inventory (RIAI; Walker et al., 2010), and the Knee Self Efficacy scale (K-SES; Thomeé et al.,  
265 2007). Both the TSK and RIAI assess negatively valenced fears and apprehensions. Miller et al.  
266 (1991) developed the 17-item TSK to examine fear of movement/reinjury among chronic low  
267 back pain sufferers (e.g., "I'm afraid that I might injure myself if I exercise," "my pain would  
268 probably be relieved if I were to exercise"). Similarly, Walker, Thatcher, and Lavallee (2010)  
269 developed the RIAI to assess athletes' anxiety regarding reinjury during the rehabilitation phase  
270 (15 items; e.g., "I am worried about becoming re-injured during rehabilitation") and upon reentry  
271 into competitive sport (13 items; e.g., "I am worried about becoming reinjured during re-entry  
272 into competition").

273 Unlike Glazer who equated confidence with psychological readiness (Glazer, 2009;  
274 Thomeé et al., 2007), Thomeé et al. (2007) also developed a self-efficacy scale, focused

275 specifically on athlete's with knee injuries. As its name suggests, the Knee Self Efficacy scale  
276 (K-SES), was developed specifically to measure self-efficacy, without any reference to the  
277 notion of psychological readiness. In line with Bandura's (1977) conceptualization, self-efficacy  
278 was defined as "a judgement of one's potential ability to carry out a task, rather than a measure  
279 of whether or not once actually can or does perform the task (p. 181)." The K-SES, a 22-item  
280 inventory, is grouped into four categories: (A) daily activities (seven items; e.g., "How certain  
281 are you about: 1. Walking in the forest, 2. Climbing up and down a hill/stairs, 3. Going out  
282 dancing"); (B) recreation, exercise, and sporting activities (five items; e.g., "How certain are you  
283 about: 1. Cycling a long distance, 2. Cross country skiing, 3. Riding a horse,"); (C) physical  
284 activities (six items; e.g., "How certain are you about: 1. Squatting, 2. Jumping sideways from  
285 one leg to the others, 3. Working out hard a short time after the injury or surgery"); and (D) knee  
286 function in the future (four items; e.g., "How certain are you that you can return to the same  
287 physical activity level as before the injury?"; "How certain are you that you would not suffer any  
288 new injuries to your knee?"; "How certain are you that your knee will not "break"?"). Each  
289 item is scored on an 11-point Likert scale from 0 = 'not at all confident' to 10 = 'very confident'.  
290 Final scores are calculated for two subscales: perceived present self-efficacy of knee function  
291 (K-SES present: categories a, b, & c) and perceived future self-efficacy of knee function (K-SES  
292 future: category d) with higher scores indicating better outcomes. While the K-SES has the  
293 benefit of being injury-specific, researchers or practitioners adopting the scale should be aware  
294 that some items may be more (or less) appropriate to the context (e.g., walking in the forest,  
295 cross-country skiing). Further, some of the items may be contrary to contemporary physical  
296 therapy guidelines. For instance, "working out hard, a short time after an injury or surgery" may  
297 be contraindicated, particularly in the case of certain injuries such as concussion.

298 Initial psychometric testing of the K-SES demonstrated good reliability, and good face,  
299 content, construct and convergent validity as well as responsiveness (Thomeé et al., 2007). The  
300 Swedish K-SES has been translated and cross-culturally adapted into English (Ezzat et al., 2021)  
301 and Dutch (van Lankveld et al., 2019). Ezzat et al. (2021) generated an English K-SES with face  
302 and content validity. The original two-factor structure was rejected based on CFA and a revised  
303 solution informed by exploratory factor analysis resulted in an adequate fit. The K-SES showed  
304 good internal consistency [Factor (F1:  $\alpha=0.96$ ; F2:  $\alpha=0.73$ )], intra-rater reliability (ICC=0.92),  
305 and no systematic bias between repeated measurements (Ezzat et al., 2021). Questions regarding  
306 the factors structure have been raised in the Dutch K-SES. Although principal component  
307 analysis (PCA) revealed a two-factor solution reflecting present physical performance/function  
308 (all factor loadings  $> 0.70$ ) and knee function in the future, the two-factor model was not  
309 confirmed in the confirmatory factor analysis. Inspection of the covariance matrix showed that in  
310 particular the 18 items relating to K-SES- $D_{\text{present}}$  did not show good fit in the CFA. These  
311 findings suggest that further research is needed to evaluate the construct validity of the K-SES in  
312 the Dutch version.

### 313 *Qualitative Approaches*

314 Researchers have also examined the nature of psychological readiness by taking a  
315 qualitative approach. Here, researchers have interviewed athletes about their definitions and  
316 experiences of psychological readiness (Kunnen et al., 2020; Podlog et al., 2015). As with prior  
317 work by Webster et al. (2008), Podlog and colleagues (2015) and Kunnen et al. (2020) also  
318 revealed that psychological readiness is multidimensional in nature. Definitions and key  
319 components of readiness identified by Podlog et al. (2015) and Kunnen et al. (2020) are  
320 highlighted in Table 2. Interestingly, both Kunnen et al. (2020) and Podlog et al. (2015), found

321 that the confidence component of readiness was multidimensional in that it consisted of a belief  
322 in the efficacy of one's rehabilitation program, confidence and trust in rehabilitation  
323 professionals, a belief that one's formerly injured body part was fully healed, and efficacy in  
324 one's performance capabilities.

325 [insert Table 2 here]

### 326 **Predictors of Psychological Readiness to RTS**

327 Nine studies examined predictors of psychological readiness to RTS after injury. In their  
328 qualitative study, Podlog and colleagues (2015) found a number of precursors that athletes  
329 believed contributed to the three readiness dimensions: confidence in returning to sport, realistic  
330 expectations of one's sporting capabilities, and motivation to regain previous performance  
331 standards. Having trust in the knowledge and expertise of rehabilitation providers, social support  
332 that satisfied one's recovery needs, and the achievement of physical standards, all contributed to  
333 increased confidence beliefs in ones' RTS. Similarly, patience, accepting one's post-injury  
334 limitations and effective goal setting all fostered realistic expectations. Finally, effective goal-  
335 setting, the boredom of injury, feeling wanted by significant others, and social support, were  
336 reported precursors of motivation to regain previous performance standards. Given the  
337 retrospective qualitative design, it is unclear if these factors actually preceded readiness – a  
338 limitation that could be addressed with longitudinal, repeated measure designs.

339 Several recent studies have also begun to illuminate relationships between demographic  
340 factors, functional abilities, and psychological readiness (Aizawa et al., 2020; Della Villa et al.,  
341 2021; Faleide, Magnussen, Bogen, et al., 2021a; Kuenze et al., 2021; Meierbachtol et al., 2018;  
342 Nagelli et al., 2019; Presley et al., 2021; Rogowski et al., 2020; Webster et al., 2018). Table 2,  
343 highlights a number of key studies examining predictors of psychological readiness. For

344 instance, research with a large cohort of 635 athletes undergoing ACLR revealed that a variety of  
345 demographic factors and perceptions of functional ability contributed to athlete's psychological  
346 readiness to return to sport (RTS) after ACLR (Webster et al., 2018). Univariate analysis for the  
347 entire group showed that all of the following had a positive effect on psychological readiness:  
348 male sex ( $\beta = 5.8$ ; 95% CI, 2-10), younger age ( $\beta = -0.2$ ; 95% CI,  $-0.4$  to  $0.01$ ), a shorter  
349 interval between injury and surgery ( $\beta = -0.1$ ; 95% CI,  $-0.1$  to  $-0.02$ ), a higher frequency of  
350 preinjury sport participation ( $\beta = 5.4$ ; 95% CI, 2-9), greater limb symmetry ( $\beta = 0.5$ ; 95% CI,  
351  $0.3$ - $0.6$ ), and higher subjective knee scores ( $\beta = 1.3$ ; 95% CI,  $1.1$ - $1.4$ ). In the multivariate model,  
352 subjective knee scores and age significantly accounted for 37% of the variance in psychological  
353 readiness ( $r^2 = 0.37$ ,  $P < .0001$ ). Male patients who participated frequently in sport before ACL  
354 injury had higher psychological readiness in comparison to those with less frequent pre-ACL  
355 sport participation. Conversely, no sex differences in psychological readiness were found by  
356 Kuenze et al. (2021) in their cross-sectional analysis of 45 men and 45 women age-matched ACL  
357 injured athletes. In their investigation, Della Villa (2021) found a statistically significant linear  
358 relationship between quadriceps strength symmetry and the I-PRRS score in patients who  
359 experienced a noncontact injury ( $n = 55$ ;  $p = 0.01$ ;  $r^2 = 0.24$ ). No such relationship was found for  
360 those who experienced a contact injury ( $n = 23$ ;  $p = 0.97$ ;  $r^2 = 0.01$ ). Along these lines, Presley et  
361 al. (2021) examined the influence of mode-of-injury ('in-sport' versus 'out-of-sport') on  
362 psychological readiness for RTS after ACL reconstruction. They found that athletes sustaining  
363 'in-sport' ACL injuries demonstrated poorer psychological readiness when compared to athletes  
364 injured outside their primary sport ( $55.3 \pm 12.9$  versus  $60.8 \pm 11.6$ ,  $t = 2.747$ ,  $p < .001$ ) when in  
365 preparation for RTS following ACL reconstruction.



366 Aizawa et al. (2020) examined a range of factors associated with psychological readiness  
367 (ACL-RSI) following ACL reconstruction among 30 patients. Predictor variables included a  
368 range of demographic (age, sex, body mass index), functional abilities (knee strength, single leg  
369 hop [SLH] distances, leg anterior reach distance, perceived running ability), and fear of  
370 movement (Kinisiophobia). Results from the multivariate regression analysis revealed that  
371 higher subjective running ability,  $\beta = 0.657$  (95% CI, 0.359 to 0.955), a lower kinisiophobia  
372 score,  $\beta = -1.265$  (95% CI,  $-1.983$  to  $-0.546$ ), and greater limb symmetry in lateral SLH  
373 distance,  $\beta = 0.421$  (95% CI, 0.063 to 0.778) were positively associated with psychological  
374 readiness. These findings were supported by Meierbachtol et al. (2018) who found that a 5-week  
375 group training program involving functional movements (single leg hop testing, triple, crossover  
376 triple, and timed hops) among 58 individuals undergoing ACL reconstruction, improved  
377 psychological readiness (ACL-RSI score pretraining =  $60.1 \pm 19.3$ ; ACL-RSI posttraining =  $77.0$   
378  $\pm 14.7$ , effects size  $d = 1.04$ ). Nagelli et al. (2019) found that among 18 ACL injured athletes,  
379 greater frontal plane knee range of motion and lower frontal plane hip range of motion within the  
380 involved limb explained nearly 40% of the variability in ACL-RSI scores. Finally, Faleide et al.  
381 (2021a) found statistically significant associations between the ACL-RSI score and two tests of  
382 knee laxity – the Lachman test ( $\rho = -0.18$ ;  $p = .046$ ) and KT-1000 arthrometer measurement  
383 ( $\rho = -0.18$ ;  $p = .040$ ) – suggesting that patients with less knee laxity after ACLR felt more  
384 psychologically ready to RTS.

385 Unfortunately, with the exception of Faleide et al. (2021a), the cross-sectional designs  
386 among studies reviewed in this section, precludes definitive conclusions on whether these  
387 demographic variables, strength/limb symmetry, or perceptions/objective functional ability

388 preceded psychological readiness. As indicated, further longitudinal research examining  
389 predictors of readiness is needed.

### 390 **Clinical and Performance Implications of Psychological Readiness to RTS**

391 In recent years, studies (n = 24) focused on the implications of psychological readiness  
392 have proliferated (see Table 2 for a summary of key studies). The central question underlining  
393 this growing body of work is: does psychological readiness influence salient downstream  
394 consequences such as athletes' mental health, physical function, the likelihood of RTS, or one's  
395 risk of re-injury? Researchers have suggested that individuals who are ready to RTS will have a  
396 greater likelihood of actually returning and will experience more positive outcomes upon their  
397 return (Podlog et al., 2015). Conversely, athletes with lower levels of readiness are expected to  
398 experience deleterious outcomes. The ability to ascertain meaningful readiness cut-off scores that  
399 can predict differential RTS outcomes, can help guide clinical decisions as to whether athletes  
400 should or should not RTS.

401 Cross-sectional and longitudinal studies have supported hypothesized relationships in so  
402 far as greater psychological readiness is predictive of mental health (Conti et al., 2019; Glazer,  
403 2009), physical function (Erickson et al., 2021; Peebles et al., 2021; Thomeé et al., 2007;  
404 Zarzycki et al., 2018), the likelihood of returning to pre-injury competitive levels (Albano et al.,  
405 2020; Ardern et al., 2014; Beischer et al., 2019; Faleide, Magnussen, Strand, et al., 2021b;  
406 Fältström et al., 2016; Kitaguchi et al., 2020; Webster et al., 2008, 2019; Webster & Feller, 2020;  
407 Wörner et al., 2021) and reinjury (McPherson et al., 2019a, 2019b). With regard to mental health  
408 profiles, Glazer (2009) and Conti et al. (2019) demonstrated that higher perceptions of readiness  
409 were inversely related with negative mood states over the course of rehabilitation. Along similar  
410 lines, Jones, Kemp, Crossley, Hart, and Ackerman's (2020) qualitative study with 17 Australian

411 adults aged 18-50 years who underwent hip arthroscopy, revealed that suboptimal psychological  
412 readiness to return to sport took a negative emotional toll on participants. In particular, a  
413 mismatch between expected and actual progress and a perceived inability to meet expected  
414 milestones, led to feelings of sadness and depression.

415 In terms of relationships between psychological readiness and functional status, Erickson  
416 et al. (2021) found that ACL-RSI scores measured at 3-months post- ACL reconstruction  
417 positively correlated with International Knee Documentation Committee  
418 (IKDC;  $r = 0.565$ ,  $p = 0.001$ ), Knee Injury and Osteoarthritis Outcome Score (KOOS)  
419 sport/recreational activities (KOOS<sub>Sport</sub>;  $r = 0.548$ ,  $p = 0.002$ ) and quality of life  
420 (KOOS<sub>QoL</sub>;  $r = 0.431$ ,  $p = 0.017$ ), and quadriceps strength ( $r = 0.528$ ,  $p = 0.003$ ) measured at 6-  
421 months post ACL reconstruction. Similarly, Hart et al. (2020) found that lower psychological  
422 readiness scores on the ACL-RSI were associated with poorer patient-reported function, assessed  
423 via the Knee Injury and Osteoarthritis Outcome Score (KOOS function in sport and recreation  
424 subscale) ( $\beta = .28$ ; 95% CI, .14 to 0.41) and the International Knee Documentation Committee  
425 (IKDC) Subjective Knee Evaluation Form ( $\beta = .30$ ; 95% CI, .21 to 0.38), as well as  
426 performance-based function ( $\beta = .14$ ; 95% CI, .03 to 0.25).

427 Extending these findings, Zarzycki et al., (2018) sought to determine the relationship  
428 between psychological readiness to RTS following ACL reconstruction and kinematic and  
429 kinetic measures of knee symmetry during gait. In this controlled laboratory, cross-sectional  
430 study, 79 athletes (39 women) underwent gait analysis following impairment resolution after  
431 ACLR (i.e., full range of motion, minimal or no effusion, quadriceps strength index of 80% or  
432 greater). Significant negative correlations were observed between the ACL-RSI and 2 kinematic  
433 variables: knee flexion angle at initial contact ( $r = -0.281$ ,  $p = .012$ ) and peak knee flexion ( $r =$

434  $-0.248, p = .027$ ). In general, lower scores on the ACL-RSI were associated with greater  
435 interlimb asymmetry. Along these lines, Peebles et al. (2021) found that among 38 patients  
436 recovering from primary unilateral ACL reconstruction, ACL-RSI scores were positively  
437 associated with peak knee extension moment limb symmetry index (LSI;  $r = 0.325; r^2 = 0.105, p$   
438  $= 0.047$ ).

439 Both original studies and literature reviews have also found that higher levels of  
440 psychological readiness are associated with a greater likelihood of return to previous sport  
441 activities and/or competitive levels (Ardern, 2015; Ardern et al., 2013, 2014; Beischer et al.,  
442 2019; Faleide, Magnussen, Bogen, et al., 2021a; Gerometta et al., 2018; Hart et al., 2020;  
443 Kitaguchi et al., 2020; Langford et al., 2009; Sadeqi et al., 2018; Webster et al., 2008, 2019;  
444 Webster & Feller, 2020; Wörner et al., 2021). Gerometta et al. (2018) found that the mean SI-  
445 RSI scores were significantly higher in 62 patients who returned to rugby following an episode  
446 of shoulder instability. Similarly, Ardern and colleagues (2014) found that psychological  
447 readiness to return to sport and recreational activity (measured with the ACL-RSI scale), was  
448 most strongly associated with returning to the preinjury levels among 164 Swedish athletes of  
449 various competitive levels.

450 Langford et al. (2009) revealed that participants who had returned to competitive sport at  
451 12 months, scored significantly higher on the ACL-RSI scale (reflecting a more positive  
452 psychological response about sport participation) at both 6 and 12 months than participants who  
453 had not returned to competitive sport. Similarly, in their prospective study, Sadeqi et al. (2018)  
454 found that at 2-year follow-up, 74.9% of patients had returned to running and 58.4% to their  
455 same preinjury sport. The ACL-RSI score was significantly higher at 6 months, 1-, and 2-years  
456 post-surgery in patients who had returned to sport and in those who returned to the same level of

457 play or higher ( $p < .00001$ ). The optimal ACL-RSI score threshold to return to the same sport at  
458 2-year follow-up was  $\geq 65$ . Finally, Webster et al. (2008) found that participants who had given  
459 up sport scored significantly lower on the ACL-RSI scale (reflecting diminished readiness) than  
460 those who had returned or were planning to return to sport ( $p = .001$ ). Collectively, these  
461 findings suggest that psychological readiness differentiates athletes who do, and do not, resume  
462 competitive activities following serious, long-term injury.

463         With regard to the outcome of re-injury, two studies have prospectively demonstrated  
464 that lower levels of psychological readiness are predictive of re-injury or secondary injury upon  
465 RTS (McPherson et al., 2019a, 2019b). McPherson et al. (2019a) investigated whether  
466 psychological readiness – as measured by ACL- RSI – predicted further injury, specifically, the  
467 incidence of second ACL injury. Among 329 patients who returned to sport after ACLR, 52  
468 (16%) sustained a second ACL injury. No statistically significant difference in psychological  
469 readiness was observed at the preoperative time point, but patients who sustained a second injury  
470 trended toward lower psychological readiness at 12 months compared with non-injured patients  
471 (60.9 vs 67.2 points;  $p = .11$ ; McPherson et al., 2019a). Additionally, younger (20 years) patients  
472 with injury had significantly lower psychological readiness to RTS than young non-injured  
473 patients (60.8 vs 71.5 points;  $p = .02$ ), but no difference was found in older patients (60.9 vs 64.6  
474 points;  $p = .58$ ). In younger patients, receiver operating characteristic curve analysis revealed a  
475 cutoff score of 76.7 points with 90% sensitivity to identify younger patients who sustained a  
476 second ACL injury. The researchers concluded that younger patients with lower psychological  
477 readiness are at higher risk for a second ACL injury after RTS.

478         The aforementioned findings were extended in a follow up study by McPherson, Feller,  
479 Hewett and Webster (2019b) in which patients  $\leq 20$  years old at the time of surgery who had a

480 primary ACL reconstruction completed a short version of the ACL-RSI before their ACL  
481 reconstruction and again at 12 months after surgery. The primary outcome of interest was the  
482 relationship between the change in psychological readiness and second ACL injuries. Findings  
483 showed that among 115 young patients who returned to sport after ACL reconstruction, 21  
484 (18%) experienced a second ACL injury. Injured patients did not show improvement in their  
485 ACL-RSI score between the preoperative assessment and 12-month time point (58.5 vs 60.8  
486 points,  $p = .60$ ) and had a significantly smaller change when compared with non-injured patients  
487 (9.2 vs 24.9 points,  $p = .01$ ). When compared with the non-injured group, the secondary injured  
488 group reported they were more nervous about playing sport, less confident in playing sport  
489 without concern for the knee, more frustrated with having to consider the knee with respect to  
490 sport, and more fearful of reinjuring the knee by playing sport ( $p \leq .05$ ). The authors concluded  
491 that the secondary injured patients exhibited less improvement in psychological readiness at a  
492 group level and reported different psychological characteristics with regard to return to sport at  
493 12 months after ACL reconstruction as monitored by the ACL-RSI scale.

494

### Discussion

495 This original and state of the art review sought to address what is known about the nature  
496 of psychological readiness, its predictors, and implications. We found three quantitative  
497 measures assessing psychological readiness to RTS including: 1. a nomothetic inventory  
498 (IPRRS), 2. an injury-specific measure (ACL-RSI short and long versions as well as three injury-  
499 specific variations [SIRSI, ALR-RSI, Hip-RSI]; 3. a sport-specific inventory (PRIA-RS); three  
500 measures assessing related and/or constituent constructs (TS; K-SES; RIAI); and two qualitative  
501 investigations examining the nature of psychological readiness. Results also revealed a number  
502 of factors (injury, individual differences, demographic, physical, functional) – some of which

503 may be modifiable – that predict psychological readiness. Further, we found evidence that  
504 greater psychological readiness was associated with enhanced mental and physical function, and  
505 a greater likelihood of return to previous sport activities and/or competitive levels. Finally, lower  
506 levels of psychological readiness have been associated with poorer physical function (i.e.,  
507 interlimb asymmetry) and a greater risk of secondary injury upon RTS. Collectively, these  
508 findings suggest that psychological readiness – operationalized in different ways – appears to be  
509 an important construct of clinical relevance in the assessment of athletes’ RTS after injury. In the  
510 remainder of the discussion, we offer a number of critical reflections of the findings, including  
511 suggestions for future research as well as a definition of psychological readiness to RTS. Finally,  
512 we offer summary conclusions.

### 513 **How is Psychological Readiness to RTS Defined?**

514 In developing various psychological readiness measures, researchers appear to have  
515 operationalized psychological readiness before having a clear conceptual understanding of the  
516 construct (Glazer, 2009; Gómez-Piqueras et al., 2014; Webster et al., 2008). For instance, in  
517 developing the IPRRS, Glazer (2009) appeared to instruct experts involved in his Delphi method  
518 of scale development to focus in on a single concept, namely, confidence. Similarly, Webster et  
519 al.(2008) and Gómez-Piqueras et al. (2014) asked experts to provide feedback on a set of pre-  
520 determined items in developing their psychological readiness assessments. In so doing,  
521 researchers likely limited potentially relevant components of psychological readiness, indicating  
522 that existing measures may lack content validity. Along these lines, Gómez-Piqueras et al.’s  
523 (2014) PRIA-RS inventory does not include separate subscales or factors representing the  
524 proposed dimensions of ‘confidence’, ‘an individual appraisal’ or ‘insecurity/fear of re-injury’.  
525 As such, it is possible that the individual items do not fully capture the breadth of the intended

526 constructs (i.e., lacks content validity). Furthermore, the nature of various readiness dimensions  
527 themselves is ambiguous such that is it unclear what ‘confidence’ or an ‘individual perception  
528 actually pertains to? Finally, no definition of psychological readiness was offered by Glazer  
529 (IPRRS; 2009), Webster et al., (ACL-RSI; 2008) or Gómez-Piqueras et al.’s (PRIA-RS; 2014)  
530 suggesting a lack of clarity on what is actually being measured.

531         Researchers have also failed to clarify whether “readiness” is about the relative absence  
532 of negative states (e.g., re-injury anxiety) or about experiencing the presence of positive states of  
533 mind. Questions remain whether athletes are psychologically ready to resume competitive  
534 activities when they possess certain “adaptive” psychological states – for example confidence –  
535 or whether psychological readiness is about the relative absence of negatively valenced states  
536 such as re-injury anxiety? Alternatively, there may be value in shifting away from “positive and  
537 “negative” binary notions of readiness towards an appreciation of the co-existence of positive  
538 and negative elements of readiness and the manner in which they dialectically interact over time.  
539 Such an approach is consistent with Hanin’s (2000) Individual Zone of Optimal Functioning.  
540 Hanin identifies positive and negative emotions as independent dimensions rather than opposite  
541 poles on the same dimension; existing in a dynamic balance with success linked to a favorable  
542 idiosyncratic positive to negative affective balance. Other questions remain, such as to what  
543 extent are physical and psychological readiness independent of each other or do they directly  
544 influence one another (Cheney et al., 2020; O’Connor et al., 2021; Reider, 2018)?

545         Based on the above, it is evident that a lack of conceptual clarity exists regarding the  
546 nature of psychological readiness to RTS. Considering previous qualitative work (Podlog et al.,  
547 2015), outcomes of the current review, and our experience working with injured athletes  
548 returning to sport, we propose the following nomothetic definition of psychological readiness:



549 Psychological readiness to RTS after injury reflects an individual's state of mental  
550 preparedness to resume sport-specific activities, that can shift over the course of one's  
551 recovery (i.e., is dynamic in nature) and which is comprised of three dimensions,  
552 including cognitive appraisals (confidence, expectations, motivations, risk appraisals,  
553 internal or external pressures), affective (anxiety about re-injury or movement, moods)  
554 and behavioral components (approach-avoidance behaviors to demonstrate physical  
555 function/neuromuscular control, and engage in sport-specific tasks).

556  
557 Noticeably absent from our definition is a physical, social, or contextual component.

558 While we contend that physical, social and contextual factors (e.g., history of injuries, social  
559 support, sub-cultural norms and values, interactions with injury stakeholders, titration of return-  
560 to-sport activity at conclusion of physical rehabilitation, access to rehabilitation facilities) may  
561 for instance, *impact* psychological readiness, such factors are not in and of themselves, *part of*  
562 psychological readiness, which we conceive of as an intra-individual state of mind. Furthermore,  
563 while we have offered a nomothetic definition of psychological readiness, we do not intend to  
564 suggest that consensus must be achieved on a single definition of psychological readiness or that  
565 the components of readiness identified in our definition transcend all sporting contexts and  
566 cultures. For instance, confidence may be a westernized construct that may or may not be a  
567 salient dimension of psychological readiness depending upon the setting in question. As such, a  
568 multiplicity of definitions may exist contingent upon the researcher's *a priori* interests and study  
569 purposes (e.g., examination of specific injury types, sports or social/cultural contexts). We  
570 therefore suggest that researchers determine whether they are interested in undertaking  
571 nomothetic, or idiographic research (e.g., injury or sport/culture specific research), that they  
572 clearly define psychological readiness, and that they select an appropriate readiness measure –  
573 assuming quantitative work is being undertaken.

574 In line with our proposed nomothetic definition, we postulate that different components  
575 of psychological readiness will fluctuate over the course of rehabilitation, consistent with injury

576 symptom resolution. Specifically, cognitive appraisals of confidence, expectations and  
577 motivations will increase consistent with symptom resolution, while risk appraisals and negative  
578 affectivity will decrease. Similarly, approach behaviors should increase in parallel with symptom  
579 resolution, while avoidance behaviors decrease as injury symptomology improves. While we  
580 believe psychological readiness is an intra-individual perception, we also contend that various  
581 biopsychosocial factors likely facilitate or undermine its development (Brewer et al., 2002). For  
582 instance, resolution of body system impairments (e.g., increased neuromuscular control),  
583 improvements in physical functioning (e.g., improvement in the ability to run), and resumption  
584 of social participation (e.g., graded resumption of practice with the team) improvements in  
585 objective biological and physical functioning (e.g., increased neuromuscular control) will  
586 facilitate enhance psychological readiness. Similarly, psychological characteristics of the  
587 individual (e.g., personality traits, athletic identity, pain tolerance, history of stressors) may  
588 positively or negatively influence readiness to RTS. Finally, socio-environmental factors  
589 (patient-practitioner interactions, social support, access to rehabilitation facilities, the high-  
590 performance context) may increase or decrease perceptions of psychological readiness. Further  
591 research is needed to test these hypotheses and to refine, amend or confirm the validity of our  
592 nomothetic definition.

### 593 **The Nature of Psychological Readiness May Vary Depending on Context**

594 While this review focused on psychological readiness in the context of sport, it seems  
595 likely that psychological readiness may be relevant in other performance domains such as  
596 tactical athletes (e.g., military, police, firefighters) and in performing artists (dancers). Initial  
597 work on psychological readiness has begun to emerge in the military (Radomski et al., 2018;  
598 Thelen et al., 2015). For instance, Thelen et al. (2015) reported good interrater reliability

599 (intraclass correlation coefficient [ICC (2,1)=0.88, (0.78, 0.94)] and moderate test-retest  
600 reliability [ICC (3,1)=0.57, (0.21, 0.79)] of a gender-neutral RTD assessment with 34 active duty  
601 military participants (male=22 and female=12). The screening tool consisted of seven  
602 assessments, including six physical components (e.g., modified anterior reach, modified deep  
603 squat), but only *one* psychological component (perceived risk of future injury). To assess  
604 perceived risk of future injury, participants were asked “How would you describe your personal  
605 concern for sustaining a musculoskeletal injury within the next six months (“No concern for  
606 injury”; “Mild to moderate concern for injury” & “Significant concern for injury”)?

607         In developing context specific definitions and measures, researchers are encouraged to  
608 incorporate the perspectives of relevant stakeholders and to examine unique features of the  
609 context in question. While some elements of psychological readiness may be similar across  
610 sport, aesthetic endeavors, military, and first responders, there may also be specific elements of  
611 each domain that should be distinguished in the development of a psychological readiness  
612 inventory (Caron et al., 2021; Fraser et al., 2020; Heil & Podlog, 2012; Hughes & Coakley,  
613 1991). For example, there is substantial heterogeneity in physical and psychological demands  
614 across sports and tactical occupations, exposures to hazards in austere and dynamic  
615 environments, and disparity in social norms, cultures, and mission requirements between  
616 occupations/sport and organizations that likely influence psychological readiness to resume  
617 unrestricted activities. Further research is needed to examine potential conceptual distinctions of  
618 psychological readiness across different performance domains.

619 **Research on Psychological Readiness, its Predictors, and Implications has been Largely**  
620 **Atheoretical and Cross-Sectional**

621           There remains a lack of clarity on how or why certain factors facilitate/diminish  
622 psychological readiness or why readiness may increase or decrease certain RTS outcomes. To  
623 better understand the nature of psychological readiness, its precursors, and outcomes, researchers  
624 and practitioners can draw on theories from various fields of research – including sport  
625 psychology (or the parent discipline). For example, adoption of existing injury models such as  
626 Weise-Bjornstal’s et al. (1998) integrated model of response to injury, the biopsychosocial model  
627 (Brewer et al., 2002) or Self-Determination Theory (Podlog & Eklund, 2007), may all be useful  
628 explanatory frameworks for developing and testing research hypotheses regarding psychological  
629 readiness, its predictors and outcomes. Alternatively, the development of new theories and  
630 conceptual models that elucidate relationships of interest may be warranted. Such efforts can  
631 help shift the research from its current descriptive state towards more explanatory approaches  
632 that promote a deeper understanding of what readiness is “all about”, why certain factors may  
633 facilitate or hinder its development and why it may help predict certain outcomes of interest  
634 (e.g., return to sport, re-injury occurrence, quality of post-injury performances, athlete well-being  
635 post return).

636           With respect to the prediction of readiness outcomes, current evidence suggests links  
637 between psychological readiness and various downstream outcomes (return versus non-return,  
638 functional status, biomechanical measures, re-injury). Questions remain however, regarding the  
639 mechanisms underlining such relationships. For example, it may be that psychological readiness  
640 impacts functional movement patterns because, the former frees attentional resources that allow  
641 for more efficient movement patterns. This supposition is supported by the findings of Taylor et  
642 al. (2020), who found that psychological factors were a robust and significant predictor for  
643 performance on the Y-balance test and the Functional Movement Screen in military tactical

644 athletes (Taylor et al., 2020). It may also be that lower levels of readiness create physiological  
645 stress that inhibit effective skill execution, reduce timing, and negatively impact muscle  
646 coordination. Based on available evidence, we hypothesize that the positive impact of  
647 psychological readiness on rehabilitation and sport specific outcomes will be mediated via  
648 physiological and behavioral mechanisms. Specifically, higher readiness will positively impact  
649 physiological parameters (e.g., cortisol, testosterone) and physiological healing (e.g., tissue  
650 healing) which in turn, will promote enhanced rehabilitation and sport-specific outcomes.  
651 Additionally, we predict that increased readiness will positively influence behavioral  
652 engagement in rehabilitation (e.g., increased rehabilitation adherence) which will thereby  
653 promote enhanced rehabilitation/RTS outcomes. Finally, we posit that higher levels of  
654 psychological readiness will facilitate enhanced rehabilitation (e.g., strength, functional  
655 movements, neuromuscular control) and sport-specific outcomes (skill execution,  
656 objective/subjective performance indices, re-injury). Further interdisciplinary research into the  
657 specific reasons *why* psychological readiness may be associated with variability in RTS  
658 outcomes, such as functional movement patterns, is a fruitful avenue for future research.

659         Our review of the research on predictors and implications of psychological readiness also  
660 highlights the cross-sectional nature of much of the work. It is therefore difficult to untangle  
661 time-order effects, that is, to determine whether particular variables are antecedents or outcomes  
662 of psychological readiness. Further longitudinal and repeated measures research is needed to  
663 address this issue. Toward this end, researchers could employ various quantitative and qualitative  
664 methodologies to imbed themselves in the environment in question, to gain a more nuanced  
665 understanding of what psychological readiness is, what precedes it, and what its implications are.  
666 Ethnographic approaches, case histories, phenomenological investigations or repeated interviews

667 would all be useful in uncovering athlete experiences of psychological readiness as they unfold  
668 in real-time.

### 669 **Conclusions**

670         Based on our review of the research, we offer several summary conclusions. First,  
671 researchers and practitioners should consider the type of injury, sport or cultural context when  
672 selecting a psychological readiness inventory. While a number of injury specific scales exist (i.e.,  
673 ACL-RSI, HIP-RSI, ALR-RSI SI-RSI), a generic measure – the IPRRS – is also available.  
674 Several other related readiness measures include the RIAI, the TSK, and the KSES. Second,  
675 while the I-PPRS and ACL-RSI both demonstrate good reliability and construct validity, further  
676 testing of content validity is needed. Additional research is needed to better determine how the  
677 information obtained from the I-PPRS or ACL-RSI should be used. In the event an athlete has a  
678 low score (e.g., 20-30 for the I-PPRS or below 42 for the ACL-RSI), it is unclear whether the  
679 best course of action is to delay the RTS until the player feels more confident or to expose them  
680 to some form of training/competition to ‘boost’ confidence to handle sport-related demands  
681 (McCall et al., 2017). Regardless of which assessment is used, psychological readiness should be  
682 evaluated in conjunction with other indicators of readiness, such as functional strength,  
683 neuromuscular function, and execution of sport-specific movements. From a practical standpoint,  
684 having discussions with athletes about the potential deleterious implications of low levels of  
685 psychological readiness (e.g., diminished likelihood of return to previous sport activities or  
686 performance-based function, elevated risk of re-injury, greater interlimb asymmetry), may help  
687 mitigate the likelihood of a premature RTS.

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693

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**Table 1***Summary of Measurement Tools to Assess Psychological Readiness*

<b>Author(s)</b>	<b>Scale Name &amp; Acronym</b>	<b>Length</b>	<b>Psychological Readiness Factors Assessed</b>	<b>Reliability: Internal Consistency Main Findings</b>
Gerometta et al., 2018	Shoulder Instability-Return to Sport after Injury scale (SIRSI)	12-items, each answered on an 11-point Likert scale of 0 to 10 (higher scores indicate a more positive psychological response).	<i>Emotion; Confidence; Risk Appraisal</i>	$\alpha = 0.96$
Glazer, 2009	Injury-Psychological Readiness to Return to Sport Scale (I-PRRS)	10-items, each answered 0 (no confidence) to 100 (utmost confidence).	<i>Confidence</i>	$\omega = 0.88$
Gómez-Piqueras et al., 2014	Psychological Readiness of Injured Athlete to Return to Sport (PRIARS) Questionnaire for Injured Soccer Players	10-items, each answered on a scale of 1 to 5 (higher scores indicate a more positive psychological response).	<i>Confidence; Individual Perception; Insecurity and Fear of Re-injury</i>	$\alpha = 0.81$
Sigonney et al., 2020	Ankle Ligament Reconstruction-Return to Sport after Injury scale (ALR-RSI)	12-items, each answered on an 11-point Likert scale of 0 to 10 (higher scores indicate a more positive psychological response).	<i>Emotion; Confidence; Risk Appraisal</i>	$\alpha = 0.96$



Thoméé et al., 2007*	Knee Self Efficacy Scale (K-SES)	Total 22-items: 7-items on daily activities; 5-items on recreation, exercise, and sporting activities; 6-items on physical activities; 4-items on knee function in the future, each answered on an 11-point Likert scale from 0 (not at all confident) to 10 (very confident).	<i>Self-efficacy</i>	Factor 1; $\alpha = 0.96$ Factor 2; $\alpha = 0.73$
Walker et al., 2010	Re-Injury Anxiety Inventory (RIAI)	Total 28-items: 15-items on rehabilitation phase; 13-items on re-entry phase, each answered on a scale of 0 (not at all) to 3 (very much so).	<i>Anxiety for Re-Injury</i>	Rehabilitation phase; $\alpha = 0.98$ Re-entry phase; $\alpha = 0.96$
Webster et al., 2008; Webster & Feller, 2018	Anterior Cruciate Ligament-Return to Sport after Injury scale (ACL-RSI)	12-items (short version, 6-item), each answered on a 10 cm visual analog scale of 0 (not at all) to 10 (extremely).	<i>Emotion; Confidence; Risk Appraisal</i>	12-item; $\alpha = 0.95$ 6-item; $\alpha = 0.9$
Wörner et al., 2021	Hip-Return to Sport after Injury scale (Hip-RSI)	6-items, each answered on a scale of 0 to 100 (higher scores indicate a more positive psychological response).	<i>Emotion; Confidence; Risk Appraisal</i>	$\alpha = 0.90$

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**Table 2***Key Studies Included in this State-of-the-Art Review*

<b>Author(s)</b>	<b>Study Objective(s)</b>	<b>Study Design (qual., quant., mixed) and Methods</b>	<b>Injuries Assessed</b>	<b>Dimensions of Psychological Readiness Discussed</b>	<b>Main Findings</b>
<b>Conceptualizations of Psychological Readiness</b>					
Kunnen et al. 2020	Understand (a) how athletes define psychological readiness and (b) when they knew they were ready to return to soccer following ACL reconstruction.	Qualitative; Open ended surveys with individual follow-up questions	Musculoskeletal; ACL tear	<i>Confidence; Love of the Game</i>	Participants defined psychological readiness as high levels of confidence (rehabilitation process, physical ability, exercise professionals, and low re-injury concerns) and “love of the game” presented as high intrinsic drive/motivation to RTS. Participants knew they were ready to RTS when their confidence and desire to return outweighed fears of re-injury.
Podlog et al., 2015	Examine 7 athletes’ injury experiences and their perspectives of psychological readiness to return to sport following a serious injury.	Qualitative; Focus group and one-on-one interviews	Musculoskeletal; Assorted	<i>Confidence; Realistic Expectations; Motivation</i>	Three key psychological readiness attributes: 1) confidence in returning to sport, 2) realistic expectations of one’s sporting capabilities, 3) motivation to regain previous performance standards. All three attributes had specific precursors. Readiness defined as a dynamic psychosocial process comprised of the three aforementioned elements that increase athletes’ perceived

likelihood of a successful return to sport.

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### Predictors of Psychological Readiness

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Aizawa et al., 2020	Identify factors that influence athletes' psychological readiness to RTS specifically requiring cutting, pivoting, and jump-landings after a primary ACL reconstruction.	Quantitative; Cross-sectional	Musculoskeletal; ACL tear	<i>Confidence; Emotion; Risk Appraisal (ACL-RSI); Fear of Movement/Re-Injury (TSK)</i>	High subjective running ability, low kinisiophobia, and more symmetrical lateral SLH distances were associated with greater psychological readiness.
Della Villa et al., 2021	Examine the association of quadricep muscle strength symmetry with female athletes' psychological readiness to RTS after ACL reconstruction.	Quantitative; Retrospective cohort	Musculoskeletal; ACL tear	<i>Confidence (I-PRRS)</i>	Injury mechanism influenced the association of psychological readiness to return to play and quadriceps muscle strength. Greater quadriceps muscle strength is associated with higher psychological readiness to RTS following a noncontact injury.
Webster et al., 2018	Identify factors associated with psychological readiness to RTS following an ACL reconstruction.	Quantitative; Cross-sectional using dependent and independent measures	Musculoskeletal; ACL tear	<i>Confidence; Emotion; Risk Appraisal (ACL-RSI)</i>	1) male sex, 2) younger age, 3) shorter interval between injury and surgery, 4) higher frequency of pre-injury sport participation, 5) greater limb symmetry, 6) higher subjective knee scores were positively associated with psychological readiness.

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## Clinical and Performance Implications of Psychological Readiness

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Hart et al., 2020	Determine whether knee confidence, fear of movement due to re-injury, psychological readiness to return to sport, or pain are related to patient-reported and performance-based function and return to a pivoting sport one year after an ACL reconstruction.	Quantitative; Cross-sectional study	Musculoskeletal; ACL tear	<i>Fear of Movement/Re-Injury (TSK); Emotion; Confidence; Risk Appraisal (ACL-RSI); Confidence (Knee Injury and Osteoarthritis Outcome Score; KOOS)</i>	Fear of movement, knee confidence, psychological readiness to RTS, and pain are related to knee function. Higher ACL-RSI scores were associated with better patient-reported and performance-based function and greater odds of returning to pivoting sports one year after ACL reconstruction. No association between fear of movement due to re-injury and return to pivoting sport was found.
McPherson et al., 2019a	Examine if psychological readiness to RTS is associated with a second ACL tear.	Quantitative; Cohort study, longitudinal design	Musculoskeletal; ACL tear	<i>Emotion; Confidence; Risk Appraisal (ACL-RSI)</i>	No difference in psychological readiness at pre-operation time point. Those who were younger ( $\leq 20$ years of age) and who had a lower psychological readiness at 12 months post-operation were at a higher risk of a second ACL tear.

Sadeqi et al., 2018	(a) Analyze the progression of the ACL-RSI score from preoperative stage to 2-year post-operative ACL reconstruction. (b) Assess the relationship and identify the factors associated with returning to preinjury sport.	Quantitative; Cohort study	Musculoskeletal; ACL tear	<i>Emotion; Confidence; Risk Appraisal (ACL-RSI); Confidence (Knee Injury and Osteoarthritis Outcome Score; KOOS)</i>	The ACL-RSI score was strongly associated with returning to running and the same preinjury sport regardless of follow-up period. Patients practicing their same preinjury sport at the 2-year follow-up had significantly higher mean ACL-RSI score than preoperative patients and at the other time points. Patient satisfaction at the 2-year follow-up was significantly and positively associated with the ACL-RSI score and returning to the same preinjury sport.
Zarzycki et al., 2018	Determine whether a relationship exists between psychological readiness scored on the ACL-RSI and kinematic and kinetic measures of knee symmetry during the gait of athletes who underwent ACL reconstruction.	Quantitative; Cross-sectional design	Musculoskeletal; ACL tear	<i>Emotion; Confidence; Risk Appraisal (ACL-RSI)</i>	Overall, lower scores on the ACL-RSI were associated with greater interlimb asymmetry. Significant negative correlations were discovered between the ACL-RSI and kinematic variables of knee flexion angle at initial contact and peak knee flexion. No relationships were observed with knee kinetic variables.

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Note: RTS = return to sport; ACL = anterior cruciate ligament