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2
3 **Original research**

4 Correlation properties of heart rate variability for exercise prescription during prolonged run-
5 ning at constant speeds: A randomized cross-over trial

6
7 **Running title:** HRV for prolonged exercise prescription

8
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39 Data are available from the corresponding author on reasonable request.

40
41 **Authors’ contributions:**

42 OH and TG designed the research. LH and OH conducted the experiments and data pro-
43 cessing. TG and OH conducted data analysis and interpretation. TG drafted the raw manu-
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50 **Abstract**

51

52 The study explores the validity of the non-linear index alpha 1 of detrended fluctuation analysis (DFAa1) of heart rate (HR) variability for exercise prescription in prolonged constant load
53 running bouts of different intensities.

54
55 21 trained endurance athletes (9w, 12m) performed a ramp test for ventilatory (vVT1, vVT2)
56 and DFAa1 based (vDFAa1-1 at 0.75, vDFAa1-2 at 0.5) running speed detection, as well as
57 two 20-min running bouts at vDFAa1-1 and vDFAa1-2 (20-vDFAa1-1, 20-vDFAa1-2), in
58 which HR, oxygen consumption (VO₂), respiratory frequency (RF), DFAa1, and blood lactate
59 concentration (BLC) were assessed.

60 20-vDFAa1-2 could not be finished by all participants (finisher group (FG), n=15 vs. exhaus-
61 tion group (EG), n=6). Despite similar mean external loads of vDFAa1-1 and vDFAa1-2 com-
62 pared to vVT1 and vVT2, considerable differences were present for 20-vDFAa1-2 in EG. 20-
63 vDFAa1-1 and 20-DFAa1-2 yielded significant differences in FG for HR (76.2±5.7 vs.

64 86.4±5.9%HR_{MAX}), VO₂ (62.1±5.0 vs. 77.5±8.6%VO_{2PEAK}), RF (40.6±11.3 vs.

65 46.1±9.8bpm), DFA-a1 (0.86±0.23 vs. 0.60±0.15) and BLC (1.41±0.45 vs. 3.34±2.24mmol/l).
66 In FG, during 20-vDFAa1-1 HR and RF increased significantly, VO₂ and DFAa1 were stable,
67 while during 20-vDFAa1-2 HR and RF showed a large, significant increase, while VO₂ in-
68 creased moderately, and DFA-a1 tended to decrease.

69 DFAa1 based exercise prescription from incremental testing could be useful for most partici-
70 pants in prolonged running bouts, at least in the moderate to heavy intensity domain. In addi-
71 tion, an individually different increased risk of overloading may occur in the heavy to severe
72 exercise domains and should be further elucidated in the light of durability and decoupling as-
73 sessment.

74

75 **Highlights:**

- 76 • DFAa1 based exercise prescription from incremental testing shows potential for prolonged
77 constant load exercise, at least in the moderate to heavy intensity domains for most trained
78 runners.
- 79 • Caution is advised for the heavy to severe exercise domains as individual overload may occur.
- 80 • The relationship of DFAa1 and vDFAa1 seems to be highly individual as well as perspec-
81 tives for durability and decoupling assessment and should be further elucidated during longer
82 exercise bouts.
- 83

84

85 **Keywords:** HRV, DFAa1, Intensity distribution, Endurance sports, Decoupling

86 Introduction

87 Analyses of the non-linear characteristics of heart rate (HR) variability (HRV) show that the
88 short-term scaling exponent α_1 of detrended fluctuation analysis (DFAa1) may be a sensi-
89 tive marker for assessing global organismic demands during acute endurance exercise (Gron-
90 wald & Hoos, 2020; Gronwald et al., 2020). DFAa1 was shown to provide a wide dynamic
91 range encompassing the moderate, heavy and severe exercise intensity domains (3-zone-
92 model) during exercise compared to linear metrics of HRV (Rogers & Gronwald, 2022). In
93 general, the exponent quantifies the fractal scale and correlation properties of HR time series
94 in cardiac beat-to-beat intervals and represents a rather qualitative marker of autonomic nerv-
95 ous system (ANS) regulation, which means that under resting conditions DFAa1 values
96 around 1.0 mirror the homeodynamic behavior of control systems to dynamically self-organ-
97 ize in between order (persistence) and disorder (Kauffman, 1995; Goldberger et al., 2002; de
98 Godoy et al., 2016). During exercise DFAa1 shows strongly correlated patterns (values well
99 above 1.0) at low-intensity exercise in the moderate domain, transitions to fractal patterns
100 (value at around and below 1.0) at moderate to heavy exercise intensities, and drops to uncor-
101 related and anticorrelated patterns at the highest intensities with values around and below 0.5,
102 which indicates a loss of fractal dynamics and a change towards random and/or anti-correlated
103 behavior (Hautala et al., 2003). Easily accessible HRV data acquisition with chest belt sensors
104 allows for laboratory and in-field use, and also opens up opportunities to provide real-time
105 feedback on exercise intensity (Gronwald et al., 2021a; Rogers & Gronwald, 2022). Given
106 these properties, and based on signal-theory background applying this metric may be used as a
107 biomarker for exercise intensity domain delineation. It could be shown, that discrete numeri-
108 cal values of this biomarker may demarcate the transition from moderate to heavy intensity
109 exercise around the aerobic threshold (DFAa1 of 0.75) and from heavy to severe intensities
110 around the anaerobic threshold (DFAa1 of 0.5), respectively, corresponding to traditional
111 threshold markers based on different organismic subsystem measures like blood lactate con-
112 centration (BLC) or gas exchange data, taking into account the potential limitations and devi-
113 ations on an individual level (Rogers et al., 2021a,b; Mateo-March et al., 2022, van Hooren et
114 al., 2023b, Schaffarczyk et al., 2023). Further, DFAa1 has been shown to be useful as a
115 marker of acute fatigue in terms of a systemic perturbation (Rogers et al., 2021c; Schaffar-
116 czyk et al., 2022; van Hooren et al., 2023a,b) or as a measure of fatigue resistance in studies
117 with prolonged exercise (Gronwald et al., 2018, 2019, 2021b). Therefore, expanding these
118 findings to future approaches of real-time monitoring of prolonged exercise seems to be
119 promising, as the DFAa1 marker might bear the potential to mirror decoupling mechanisms
120 as alterations of external-to-internal-load relationships or “durability” aspects of endurance
121 performance, that were recently described as “the time of onset and magnitude of deteriora-
122 tion in physiological-profiling characteristics over time during prolonged exercise” (Maunder
123 et al., 2021; Smyth et al., 2022). Jones (2023) introduced this construct as physiological resili-
124 ence and independent determinant of endurance exercise. However, validation data of DFAa1
125 during prescribed prolonged exercise bouts are still scarce and the true significance for exer-
126 cise prescription remains to be fully elucidated. In addition, exercise prescription based on a
127 percentage of maximum HR, oxygen consumption or various approaches of fixed BLC and
128 individual gas exchange utilization most often lead to an inaccurate representation of the rela-
129 tionship between external and internal load during prolonged exercise (Mann et al., 2013;
130 Jamnick et al., 2020; Brownstein et al., 2022; Fleckenstein et al., 2023). Therefore, the aim of
131 the present pilot study was to evaluate the ability of DFAa1 to prescribe and monitor exercise
132 intensity during continuous running bouts at the transition of moderate to heavy, and heavy to
133 severe exercise domains.

134

135 **Methods and Materials**

136

137 *Participants*

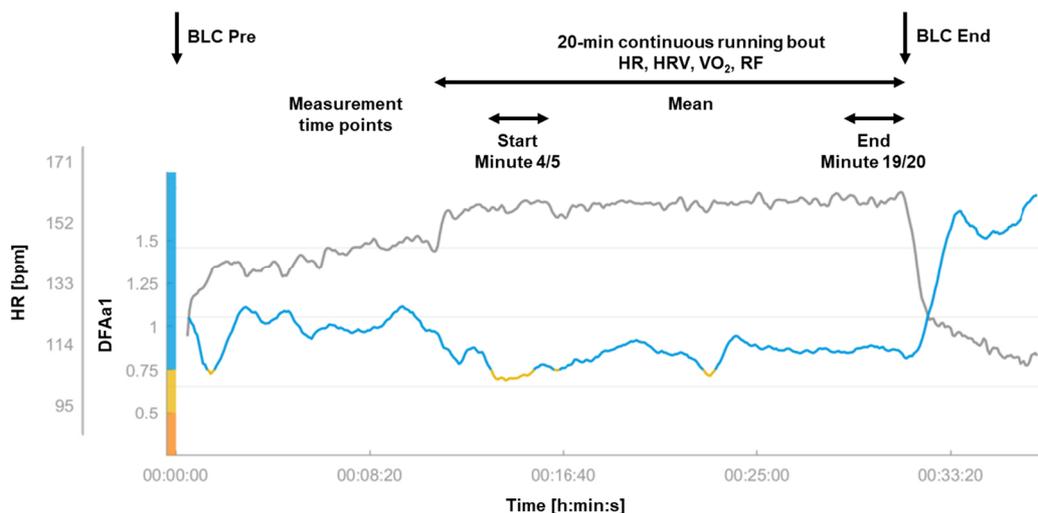
138 21 trained (McKay et al., 2022) endurance athletes (9w, 12m; age: 25.9±3.6years, height:
139 178.4±9.9cm, body weight: 70.8±8.7kg, body fat: 12.2±4.4%, maximum heart rate - HR_{MAX}:
140 198.4±7.9bpm, maximum oxygen consumption - VO_{2PEAK}: 59.0±8.3 ml/kg/min) voluntarily
141 participated in this study. All subjects were informed about risks and benefits of the proce-
142 dures and signed an informed-consent form. The ethics committee of the XXX (reference no.:
143 XXX) approved all tests performed in the study. The study was also carried out in accordance
144 with the principles set forth in the most recent revision of the Declaration of Helsinki.

145

146 *Study design*

147 All participants visited the laboratory on three separate days. On the first day they were in-
148 formed about risks and benefits of the study and were accustomed to treadmill, face mask and
149 blood lactate concentration measurement procedures. Body fat percentage (BF%) was meas-
150 ured using bio-impedance analysis (InnerScanV, Amsterdam, Netherlands). Afterwards par-
151 ticipants completed an incremental running test on a treadmill (Woodway DESMO Pro XL,
152 Woodway Europe, Weil am Rhein) with an increment of 1km/h per minute starting at 7km/h
153 for women and 8km/h for men until volitional exhaustion. Prior to the test, athletes completed
154 a 10-min warm-up on the treadmill at the initial test speed. The running speeds (v) at the first
155 and second ventilatory threshold (vVT1, vVT2) and at DFAa1 of 0.75 and 0.5 (vDFAa1-1,
156 vDFAa1-2) were determined during the running test. Afterwards, two 20-min continuous run-
157 ning bouts at vDFAa1-1 and vDFAa1-2 (20-vDFAa1-1, 20-vDFAa1-2) were conducted in
158 randomized and counterbalanced order within one week. Prior to 20-vDFAa1-1 and 20-
159 vDFAa1-2 all participants warmed-up on the treadmill at a speed corresponding to 80% of
160 their vDFAa1-1 speed (see Figure 1). All tests were conducted at similar times of the day with
161 at least a 72 hours' time-lag to the next or previous test or intense exercise session. Other in-
162 fluencing factors such as sleep, food intake and personal preparation for the test were stand-
163 ardised as far as possible.

164



165

166 **Figure 1:** Schematic view of heart rate (HR, grey) and DFAa1 (blue) of one 20-min continu-
167 ous running bout at vDFAa1-1; warm-up and recovery data included (Kubios HRV Scientific,
168 2023). Data of HR, HR variability (HRV), oxygen consumption (VO₂), and respiratory fre-
169 quency (RF) were continuously recorded, blood lactate concentration (BLC) before (Pre) and
170 at the end (End) of the running bouts.

171 *Data measurement*

172 On all three laboratory days HR and HRV were continuously measured during a 5-min resting
173 period and during all test conditions using Polar V800 and H10 HR devices (Polar Electro Oy,
174 Kempele, Finland). BLC was collected from the ear lobe before and at the end of the continu-
175 ous running bouts using an enzymatic-amperometric method (Biosen C-line, EKF-Diagnos-
176 tics, Eppendorf, Germany). Further, oxygen consumption (VO_2 in ml/kg/min) and respiratory
177 frequency (RF in breaths per minute, bpm) were continuously measured during all three exer-
178 cise sessions using a portable breath-by-breath metabolic cart (Metamax 3B, Cortex Bio-
179 physik GmbH, Leipzig, Germany).

180

181 *HRV analysis and threshold determination*

182 To analyze RR-intervals (in ms) and HR (in beats per minute, bpm) data were exported from
183 Polar Flow webservice (Polar Electro Oy, Kempele, Finland) and processed in Kubios HRV
184 Scientific (Biosignal Analysis and Medical Imaging Group, Department of Physics, Univer-
185 sity of Kuopio, Kuopio, Finland). Preprocessing settings were set to the default values, includ-
186 ing the RR detrending method, which was kept at “smoothness priors” ($\text{Lambda} = 500$). The
187 RR-interval series were then corrected using the Kubios HRV “automatic correction” method.
188 To calculate DFAa1, the root mean square (RMS) fluctuations of the integrated and detrended
189 RR-intervals were analyzed in observation windows of different sizes and then further pro-
190 cessed as the slope between the RMS-fluctuation data in relation to the different window sizes
191 on a log-log scale (Peng et al., 1995). Window size was set to $4 \leq n \leq 16$ beats in the software
192 preferences. Data were also scanned visually for artefacts by an expert with experience in
193 HRV-data analysis and removed manually if necessary. Data sets with $>5\%$ artefacts were ex-
194 cluded from HRV analysis. The time varying DFAa1 kinetic was then calculated over a 120s
195 window width with grid intervals of 10s. HRV thresholds were determined based on fixed
196 values of DFAa1. Linear regression was performed on the subset of data consisting of the
197 rapid, near straight-line drop from DFAa1 values close to 1.0 to approximately 0.5, or below
198 if the values continued in a non-deviating fashion. The running speeds where DFAa1 reached
199 either 0.75 (vDFAa1-1) and 0.5 (vDFAa1-2) were calculated based on the regression equation
200 from that linear section (Rogers et al., 2020, 2021), or based on established multiphasic dose-
201 response modeling (Di Veroli et al., 2015), if its goodness-of-fit exceeded the one of standard
202 linear regression.

203

204 *Ventilatory threshold determination*

205 To determine VT1 the V-slope method was used (Beaver et al., 1986). In case of inconclusive
206 VCO_2 and VO_2 curves, additionally an increase in end tidal O_2 pressure vs. time and a rise in
207 the O_2 equivalent without a simultaneous increase in CO_2 equivalent was used as criteria for
208 VT1. VT2 was defined as the point of an over proportional rise in minute volume (VE) vs.
209 VCO_2 . Additional criteria were a decrease in end expiratory CO_2 pressure vs. time and an in-
210 crease in the CO_2 equivalent vs. time (Meyer et al., 2005). VT1 and VT2 were both determined
211 independently by two researchers.

212

213 *Efficiency factor*

214 For the analysis of internal-to-external-load relationship and a possible decoupling mecha-
215 nism an efficiency factor (EF) was defined. This internal-to-external workload ratio was cal-
216 culated for the start and end of the continuous running bouts using the ratio of internal load
217 indicators (HR, VO_2 , RF, DFAa1) and running pace (in km/h). For the participants which ex-
218 hausted before the end of 20- vDFAa1-2 , minutes 4/5 vs. the last two minutes of exercise were
219 used. The difference of the EF from the start and end was calculated and divided by the EF

220 from the start multiplied by 100 to get a percentage of alteration (%). Thus, a value of 10%
 221 indicates that internal-to-external ratio was 10% greater at the end segment compared to that
 222 observed in the start segment (Maunder et al., 2021; Smyth et al., 2022).

223

224 **Statistical Analyses**

225 Statistics were conducted using SPSS 27.0 (IBM, Chicago, USA), and Microsoft Excel (Mi-
 226 crosoft Corp, Redmond, USA). Prior to all tests, normality of distribution was tested using
 227 Shapiro-Wilk testing. To analyze the effects of the exercise bouts on dependent variables, a
 228 two-way analysis of variance with repeated measures (ANOVA; intensity x time) was applied
 229 and both the main effects and the interaction (intensity × time) were reported. In addition,
 230 post-hoc testing and comparison of different approaches of external load assessment at esti-
 231 mated exercise intensity thresholds, as well as mean differences between 20-vDFAa1-1 and
 232 20-vDFAa1-2 were conducted via paired t-tests. Additionally, partial η^2 was used to denote
 233 the effect sizes of main effects and Cohen's d for the effect size of t-test results (difference be-
 234 tween mean values divided by the pooled standard deviation). In addition to mean values for
 235 the complete continuous running bouts, the values at start and end at minutes 4/5 vs. 19/20
 236 were used for comparisons (see Figure 1). Statistical tests were deemed to be significant at $p \leq$
 237 0.05. All results are reported as means \pm standard deviation (SD).

238

239 **Results**

240 External loads of vDFAa1-1 (10.6 \pm 1.9km/h) and vDFAa1-2 (13.1 \pm 2.4km/h) for all partici-
 241 pants were comparable to vVT1 (10.8 \pm 1.7km/h, $p=0.418$, $d=0.13$) and vVT2 (13.2 \pm 1.9km/h,
 242 $p=0.661$, $d=0.08$), but considerable differences between both methods were present for 20-
 243 vDFAa1-2 in EG (see also descriptive analysis and differentiation for FG and EG in Table 1).
 244 20-vDFAa1-1 was successfully performed by all participants. However, 20-vDFAa1-2 was
 245 completed by only 15 participants (Finisher group, FG), while six participants had to stop
 246 ahead of time at 11:47 \pm 03:13min:s due to exhaustion (Exhaustion group, EG), as indicated by
 247 BLC mean values of 9.98 \pm 2.41mmol/l (see Table 1). Due to artefact rates >5% in RR-interval
 248 raw data two participants had to be excluded from the analysis of 20-vDFAa1-2 (for DFA a1)
 249 in the FG.

250

251 **Table 1:** Comparison of vDFAa1-1, vDFAa1-2, vVT1, vVT2, and blood lactate concentration
 252 (BLC) before (Pre) and at the end (End) of both running bouts for all participants, the Finisher
 253 (FG) and the Exhaustion group (EG).

	All [n=21]	FG [n=15]	EG [n=6]
vDFAa1-1 [km/h]	10.6 \pm 1.9	10.0 \pm 1.5	12.0 \pm 2.5
vVT1 [km/h]	10.8 \pm 1.7	10.6 \pm 1.8	11.5 \pm 1.4
vDFAa1-2 [km/h]	13.1 \pm 2.4	12.3 \pm 1.9	15.2 \pm 2.4
vVT2 [km/h]	13.2 \pm 1.9	13.1 \pm 2.0	13.6 \pm 1.5
BLC Pre 20-vDFAa1-1 [mmol/l]	0.95 \pm 0.29	0.92 \pm 0.27	1.01 \pm 0.37
BLC End 20-vDFAa1-1 [mmol/l]	1.56 \pm 0.59	1.41 \pm 0.45	1.94 \pm 0.78
BLC Pre 20-vDFAa1-2 [mmol/l]	1.08 \pm 0.22	1.09 \pm 0.24	1.07 \pm 0.15
BLC End 20-vDFAa1-2 [mmol/l]	5.24 \pm 3.79	3.34 \pm 2.24	9.98 \pm 2.41

254 FG: Group of 15 participants who finished both prolonged exercise tests; EG: Group of 6 par-
 255 ticipants which did not finish 20-vDFAa1-2.

256

257 ANOVA results indicate a significant main effect for intensity in comparison of 20-vDFAa1-
 258 1 and 20-DFAa1-2 for all analyzed parameters in FG. In addition, a significant main effect of
 259 time could be determined in HR, VO_2 , and RF, but not for DFAa1. An effect of interaction

(intensity × time) could only be found for HR (Intensity: $F = 43.3$, $p < 0.001$, $\eta^2 = 0.756$; Time: $F = 139.9$, $p < 0.001$, $\eta^2 = 0.909$; Interaction: $F = 18.2$, $p = 0.001$, $\eta^2 = 0.565$) and VO_2 (Intensity: $F = 34.6$, $p < 0.001$, $\eta^2 = 0.712$; Time: $F = 25.7$, $p < 0.001$, $\eta^2 = 0.647$; Interaction: $F = 15.3$, $p = 0.002$, $\eta^2 = 0.522$), but not for RF (Intensity: $F = 21.9$, $p < 0.001$, $\eta^2 = 0.610$; Time: $F = 17.5$, $p = 0.001$, $\eta^2 = 0.556$; Interaction: $F = 4.3$, $p = 0.056$, $\eta^2 = 0.236$) and DFAa1 (Intensity: $F = 12.3$, $p = 0.004$, $\eta^2 = 0.505$; Time: $F = 1.1$, $p = 0.320$, $\eta^2 = 0.082$; Interaction: $F = 0.4$, $p = 0.526$, $\eta^2 = 0.034$), respectively.

20-vDFAa1-1 and 20-DFAa1-2 yielded substantial mean differences in FG for HR (150.4 ± 10.6 vs. 170.3 ± 9.8 bpm, $p < 0.001$, $d = 1.95$; 76.2 ± 5.7 vs. $86.4 \pm 5.9\%HR_{MAX}$, $p < 0.001$, $d = 1.75$), VO_2 (36.7 ± 5.2 vs. 46.0 ± 8.7 ml/kg/min, $p < 0.001$, $d = 1.28$; 62.1 ± 5.0 vs. $77.5 \pm 8.6\%VO_{2PEAK}$, $p < 0.001$, $d = 2.22$), RF (40.6 ± 11.3 vs. 46.1 ± 9.8 bpm, $p < 0.001$, $d = 0.52$), DFA-a1 (0.86 ± 0.23 vs. 0.60 ± 0.15 , $p = 0.004$, $d = -1.32$) and BLC at the end of the running bouts (1.41 ± 0.45 vs. 3.34 ± 2.24 mmol/l; $p < 0.001$, $d = 1.19$, see Figures 2-5, and descriptive analysis and differentiation data for EG in Table 1 and 2).

In comparison of start and end of 20-vDFAa1-1 in FG HR and RF increased moderately from 148.4 ± 11.0 to 153.9 ± 11.0 bpm ($p < 0.001$, $d = 0.50$) and 38.7 ± 10.7 to 41.3 ± 11.8 bpm ($p = 0.023$, $d = 0.22$), while VO_2 and DFAa1 remained rather stable with values of 36.6 ± 5.0 vs. 37.1 ± 5.6 ml/kg/min ($p = 0.108$, $d = 0.09$), and 0.86 ± 0.28 vs. 0.84 ± 0.19 ($p = 0.666$, $d = -0.10$), respectively (see Table 3). Regarding the alteration of the calculated EF, all parameters showed small changes for FG (HR: 3.7%, VO_2 : 1.3%, RF: 6.0%, DFAa1: -2.5%). In addition, EG showed also small alterations of EF $< 10\%$ for 20-vDFAa1-1 (HF: 4.8%, VO_2 : 1.4%, RF: 7.8%, DFAa1: -8.3%).

During the 20-vDFAa1-2 running bout of FG HR and RF rose substantially from 166.6 ± 9.9 to 175.7 ± 10.5 bpm ($p < 0.001$, $d = 0.88$), and 42.0 ± 11.2 vs. 48.4 ± 9.5 bpm ($p = 0.002$, $d = 0.61$); while VO_2 increased moderately with 44.2 ± 7.7 vs. 46.8 ± 9.0 ml/kg/min ($p < 0.001$, $d = 0.31$), and DFA-a1 remained rather stable with 0.65 ± 0.21 vs. 0.57 ± 0.17 ($p = 0.262$, $d = -0.41$) (see Table 3). The calculated EF showed small changes for HR and VO_2 (HR: 5.4%, VO_2 : 5.6%), while RF and DFAa1 showed more substantial alterations above 10% (RF: 15.6%, DFAa1: -12.8%). In addition, in EG small to moderate alterations of EF indices during 20-vDFAa1-2 were present for HR (2.9%) and VO_2 (6.7%), while changes in RF (20.1%) and DFAa1 (-35.9%) were substantially more pronounced.

Table 2: Summary of all physiological mean data from 20-vDFAa1-1 and 20-vDFAa1-2 for the Finisher (FG) and the Exhaustion group (EG).

	FG [n=15]		Statistics	EG [n=6]	
	20-vDFA1-1	20-vDFA1-2		20-vDFA1-1	20-vDFA1-2
HR [bpm] (%HR_{MAX})	150.4±10.6 (76.2±5.7)	170.3±9.8 (86.4±5.9)	p<0.001, d=1.95	165.1±10.5 (82.3±5.3)	189.3±5.5 (94.3±2.1)
VO₂ [ml/kg/min] (%VO_{2PEAK})	36.7±5.2 (62.1±5.0)	46.0±8.7 (77.5±8.6)	p<0.001, d=1.28	41.5±7.2 (71.7±10.7)	53.9±7.3 (92.9±2.2)

RF [bpm]	40.6±11.3	46.1±9.8	p<0.001, d=0.52	40.6±6.8	51.1±3.4
DFAa1	0.86±0.23	0.60±0.15	p=0.004, d=- 1.32	0.76±0.27	0.48±0.17

297 FG: Group of 15 participants who finished both prolonged exercise tests; EG: Group of 6 par-
298 ticipants who did not finish 20-vDFAa1-2.

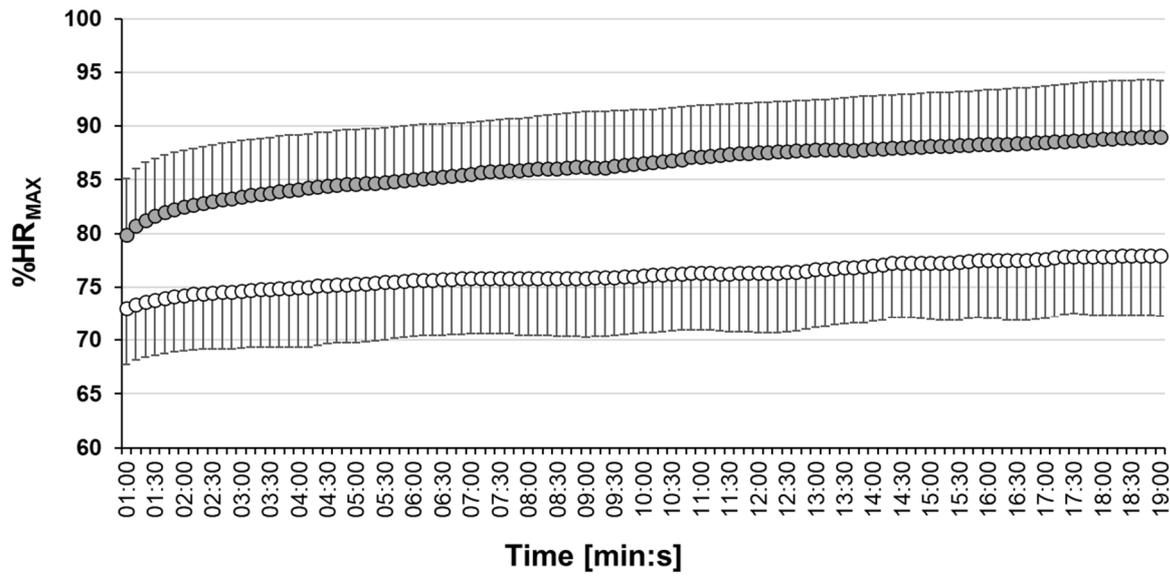
299

300 **Table 3:** Summary of all physiological data from the start and end of 20-vDFAa1-1 and 20-
301 vDFAa1-2 for the Finisher (FG) and the Exhaustion group (EG).

	20-vDFA1-1			20-vDFA1-2		
	Start	End	Statis- tics	Start	End	Statis- tics
FG [n=15]						
HR [bpm] (%HR_{MAX})	148.4±11.0 (75.2±6.0)	153.9±11.0 (78.0±5.8)	p<0.001, d=0.50	166.6±9.9 (84.5±6.1)	175.7±10.5 (89.1±6.2)	p<0.001, d=0.88
VO₂ [ml/kg/min] (%VO_{2PEAK})	36.6±5.0 (61.9±5.1)	37.1±5.6 (62.7±5.7)	p=0.108, d=0.09	44.2±7.7 (74.5±7.3)	46.8±9.0 (78.9±9.2)	p<0.001, d=0.31
RF [bpm]	38.7±10.7	41.3±11.8	p=0.023, d=0.22	42.0±11.2	48.4±9.5	p=0.002, d=0.61
DFAa1	0.86±0.28	0.84±0.19	p=0.666, d=-0.10	0.65±0.21	0.57±0.17	p=0.262, d=-0.41
EG [n=6]						
HR [bpm] (%HR_{MAX})	161.8±10.1 (80.7±4.7)	169.7±11.2 (84.6±5.9)	-	188.5±4.7 (94.0±2.7)	194.3±5.9 (96.8±2.5)	-
VO₂ [ml/kg/min] (%VO_{2PEAK})	41.2±6.4 (71.1±8.4)	41.8±7.4 (72.3±12.3)	-	51.9±6.8 (89.4±2.4)	55.5±8.3 (95.4±2.0)	-
RF [bpm]	38.8±7.6	42.0±7.3	-	46.3±5.4	55.7±3.2	-
DFAa1	0.81±0.36	0.62±0.26	-	0.55±0.25	0.34±0.13	-

302 FG: Group of 15 participants who finished both prolonged exercise tests; EG: Group of 6 par-
303 ticipants who did not finish 20-vDFAa1-2.

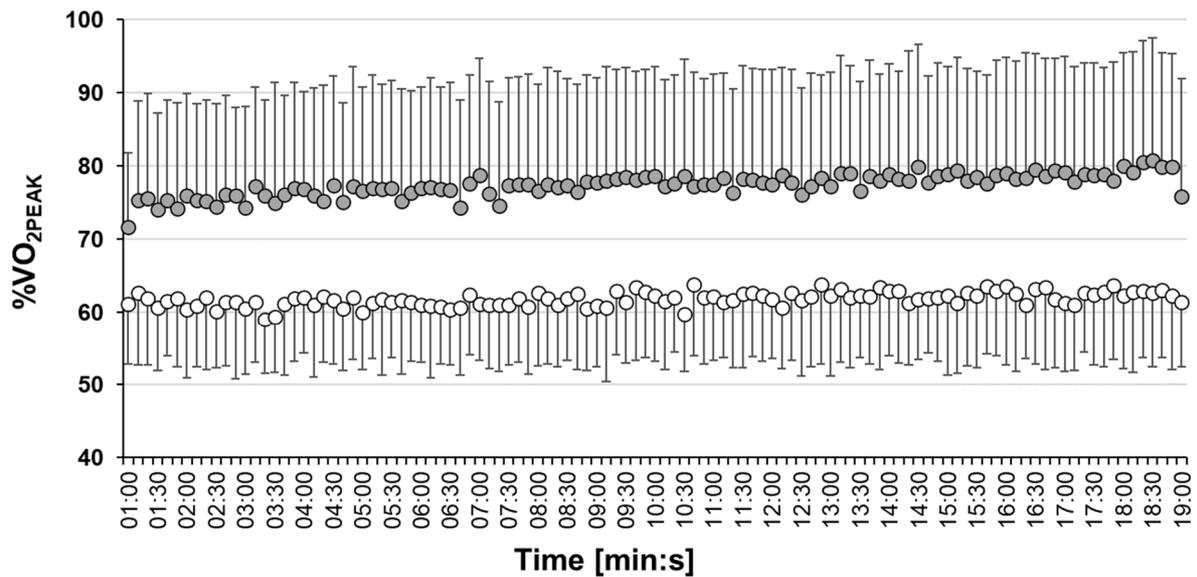
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○ 20-vDFAa1-1 ● 20-vDFAa1-2

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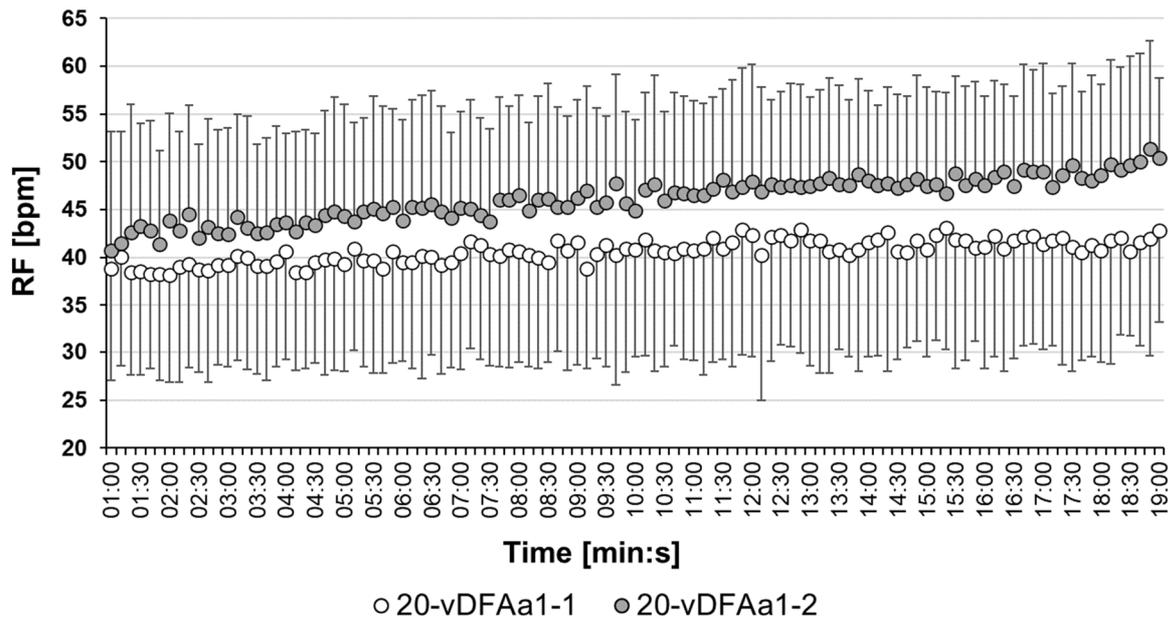
Figure 2: Comparison of heart rate ($\%HR_{MAX}$, mean and SD) kinetics during 20-vDFAa1-1 and 20-vDFAa1-2 of the Finisher group.



○ 20-vDFAa1-1 ● 20-vDFAa1-2

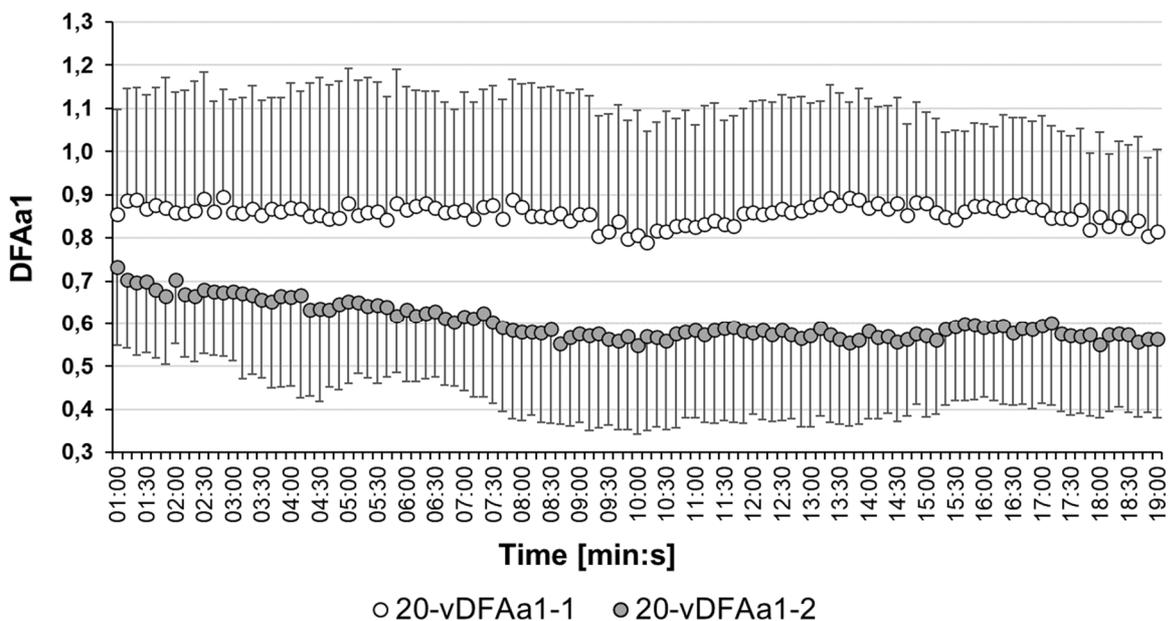
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Figure 3: Comparison of oxygen consumption ($\%VO_{2PEAK}$, mean and SD) kinetics during 20-vDFAa1-1 and 20-vDFAa1-2 of the Finisher group.



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Figure 4: Comparison of respiratory frequency (RF, mean and SD) kinetics during 20-vDFAa1-1 and 20-vDFAa1-2 of the Finisher group.



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Figure 5: Comparison of DFAa1 (mean and SD) kinetics during 20-vDFAa1-1 and 20-vDFAa1-2 of the Finisher group.

321 Discussion

322 The aim of this pilot study was to evaluate the ability of DFAa1 to prescribe and monitor ex-
323 ercise intensity during continuous running at the boundaries of moderate to heavy, and heavy
324 to severe exercise intensities, respectively. Even though it was not the primary goal to directly
325 compare different approaches of exercise intensity domain demarcation (see e.g., Galán-Rioja
326 et al., 2020; Kaufmann et al., 2023), our data indicate that external loads of vDFAa1-1 and
327 vDFAa1-2 were comparable to vVT1 for all participants and to vVT2 for most of the partici-
328 pants (FG), respectively. In addition, the overall comparison of mean values from 20-

329 vDFAa1-1 and 20-vDFAa1-2 yielded a clear distinction between the two exercise intensities
330 in all physiological parameters and indicate a reasonable demarcation of a 3-zone-model of
331 intensity distribution for moderate, heavy and severe exercise domains (Jamnick et al., 2020;
332 Haugen et al., 2022). Therefore, as already suggested based on signal-theory and findings
333 from prior studies using incremental exercise tests (Gronwald & Hoos, 2020; Gronwald et al.,
334 2020; Rogers & Gronwald, 2022; Sempere-Ruiz et al., 2024), exercise prescription using run-
335 ning speeds at DFAa1 values of 0.75 and 0.5 (vDFAa1-1, vDFAa1-2) separate reasonable in-
336 tensity domains for prolonged constant exercise bouts for most participants. In our approach
337 these different domains do not directly rely on metabolic markers, but rather are based on the
338 complex changes in autonomic modulation due to parasympathetic withdrawal, sympathetic
339 activation, altered non-neural factors, and the potential loss of interaction between the two
340 branches of the ANS with increased exercise intensity (Persson, 1996; White & Raven, 2014).
341 However, substantial inter-individual fluctuations in internal load occur for both prolonged
342 running bouts that are related to general problems of exercise prescription for prolonged exer-
343 cise when intensity zone markers are derived from incremental exercise tests (Iannetta et al.,
344 2019a; Zuccarelli et al., 2018; Jamnick et al., 2020). Further, our data also support the notion
345 that the magnitude and practical relevance of these inter-individual differences depend on the
346 addressed intensity domain, as substantial and practically relevant differences were mainly
347 found in vDFAa1-2, and these differences lead to premature exhaustion in the high intensity
348 running bout for more than 25% of the participants (EG, n=6).

349

350 *Prolonged constant load exercise prescription from incremental testing*

351 Prolonged constant load exercises derived from external load prescriptions of incremental
352 tests bears the general problem that the physiological response may vary considerably be-
353 tween individuals both at the beginning and throughout the constant load exercise (e.g., Ian-
354 netta et al., 2019a; Jamnick et al., 2020). This could be seen in all our metabolic and cardi-
355 orespiratory markers including DFAa1, which was used for prescription of vDFAa1-1
356 (DFAa1 = 0.75) and vDFAa1-2 (DFAa1 = 0.5). In addition, recent findings even indicate an
357 unclear assignment of an intensity domain during constant load exercise of prolonged dura-
358 tion when using the highly individual acute responses of %HR_{MAX} as a benchmark (Iannetta
359 et al., 2019a). In that regard, for prolonged exercise prescription it must be considered that la-
360 boratory testing with incremental design (step, ramp) needs to account for specific response
361 kinetics of the corresponding physiological markers, and the magnitude of the inter-individual
362 variability depends on the interaction of the used biomarker, its response kinetics, and the in-
363 cremental exercise protocol (Zuccarelli et al., 2018; Iannetta et al., 2019b). External load pre-
364 scription assumes that physiological responses are rather static (Jamnick et al., 2020; Maunder
365 et al., 2021) and neglect the influence of internal and external factors leading to heterogeneity
366 in exercise tolerance and physiological responses over time (e.g., personal or environmental
367 factors, Gronwald et al., 2020; Meyler et al., 2023). The large variation of internal load re-
368 sponses in our data seem to be also present when prescriptions based on fixed submaximal
369 threshold approaches (e.g., BLC) or individual submaximal threshold approaches are made
370 (e.g., GET) (Fleckenstein et al., 2023; Brownstein et al., 2022). In addition, pre-exhaustion
371 due to incremental testing may also lead to an altered internal-to-external-load relationship at
372 an intensity domain transition compared to the beginning of prolonged exercise (with or with-
373 out standardized warm-up procedures), revealing the need to address exercise duration as an
374 important independent prescription factor (Hofmann & Tschakert, 2017; Tschakert et al.,
375 2022). This also applies for other external load indicators like movement frequency (e.g., ca-
376 dence in cycling exercise; Beneke & Leithäuser, 2017; Gronwald et al., 2018). In this regard,
377 recent findings from DFA of HRV during running show that repeated incremental running

378 tests shift the agreement between gas exchange thresholds and DFAa1-derived boundaries for
379 intensity demarcation, leading to the assumption of fatigue-related inter- and intra-individual
380 physiological perturbations depicted in DFAa1 kinetics (van Hooren et al., 2023a,b). There-
381 fore, it seems reasonable to address these issues using an internal-load-based approach of ex-
382 ercise prescription for prolonged constant load exercise to consider the individual and daily
383 responses to a prescribed external load accounting for personal and environmental influences
384 on the most prominent underlying trend in HR data, the cardiovascular drift (Ekelund, 1967).
385 Recently, a HR-based exercise intensity control approach using a HR clamp that kept HR con-
386 stant over time by adjusting speed/power (Zuccarelli et al., 2018; Li et al., 2023) showed sub-
387 stantial differences for standard HRV metrics during exercise when compared to constant load
388 exercise with corresponding HR drifts (Hernando et al., 2018; Hunt & Saengsuwan, 2018;
389 Brockmann & Hunt, 2023). In this context, however, further questions need to be clarified
390 about suitability of different subsystem parameters of internal load and a “best” and feasible
391 real-time monitoring approach for the control of exercise intensity (e.g., HR drift and the po-
392 tential underestimation of rating of perceived exertion (RPE); Cartón-Llorente et al., 2022).
393 Here, a dimensionless, global, and systemic internal load indicator like DFAa1 (in addition to
394 RPE as an easily accessible subjective marker) could provide the potential for further investi-
395 gation in prolonged exercise regimes (Gronwald et al., 2021a; Rogers & Gronwald, 2022).
396 However, it seems mandatory to evaluate mid- and long-term training outcomes when con-
397 trolling exercise intensity based on internal load markers, as this may lead to significant and
398 inter-individual varying reduction in exercise and training stimuli (Zuccarelli et al., 2018).

399

400 *Intensity domain dependency for prolonged exercise prescription*

401 Besides the already discussed general problems of exercise prescription for prolonged con-
402 stant load exercise from incremental testing our data also points towards an intensity specific
403 aspect of these issues, that needs to be further addressed. On the one hand, our data shows that
404 the intensity prescription for 20-vDFAa1-1 elicits a BLC below 2 mmol/l, and magnitudes of
405 %HR_{MAX} and %VO_{2PEAK} for FG and EG (Tables 1-3) that clearly mirror medium to upper
406 levels of a moderate intensity domain in established 3-zone-models (Jamnick et al., 2020;
407 Haugen et al., 2022). Besides the mean values the inter-individual differences in %HR_{MAX}
408 and %VO_{2PEAK} are in line with the magnitudes shown in a recent study based on BLC-derived
409 LT prescription (Fleckenstein et al., 2023). In addition, when considering recently proposed
410 ratios for the assessment of internal-to-external-load relationship and decoupling mechanism
411 (Maunder et al. 2021; Smyth et al., 2022) in terms of EF of HR, VO₂, RF, and DFAa1 in rela-
412 tion to running speed, a comparison of start and end of the running bouts lead to rather small
413 alterations of EF <10% in all parameters in both FG and EG. These values of a small HR drift
414 without a slow component in VO₂ support the notion of a DFAa1-derived separation of mod-
415 erate and heavy intensity domain.

416

417 On the other hand, for 20-vDFAa1-2 derived from DFAa1 values of 0.5 during incremental
418 testing, BLC-values of approx. 3.5 mmol/l, as well as %HR_{MAX} and %VO_{2PEAK} in FG (n=15)
419 can be matched within the lower to medium range of the heavy intensity domain, while the
420 corresponding values for EG (n=6) that couldn't sustain the 20-min exercise duration clearly
421 exceed the boundary towards the severe intensity domain (Jamnick et al., 2020; Haugen et al.,
422 2022), respectively. Further, 20-vDFAa1-2 lead to substantial alterations of EF with a magni-
423 tude of >10% for RF and DFAa1 in FG, and >20% for EG, which shows the potential of these
424 two internal load parameters regarding further decoupling analysis of internal-to-external rela-
425 tionship. In this context, RF was recently mentioned as a promising internal load marker in

426 exercise physiology and offers new possibilities for wearable analyses in research and practical settings (Nicolo et al., 2017; Nicolo & Sacchetti, 2023).

428

429 The probable overestimation of running velocity in the subsample of EG is further in line with
430 previous studies that already discussed potential overestimations for a relevant number of participants using the present approach of non-linear HRV analysis to delineate the heavy from
431 the severe intensity domain (Rogers et al., 2020, 2021; Mateo-March et al., 2022). One factor
432 might be that (linear) HRV metrics are both intensity and time dependent and may reach their
433 near minimum values with low signal-to-noise ratio rapidly (Brockmann & Hunt, 2023).

434 However, to what extent this is true for non-linear measures like DFAa1 needs to be further
435 elucidated in e.g., HR clamp exercise. Further, as stated before (Gronwald & Hoos, 2020;
436 Rogers & Gronwald, 2022; Kaufman et al., 2023), despite the need for comparison with established intensity domain threshold concepts, it should be kept in mind that the present approach is based on the theoretical framework of a self-organized dynamic regulation of the central autonomic network (CAN, Benarroch, 1993) that is reflected in the correlation properties of HR dynamics. Therefore, it is rather complimentary to and does not necessarily coincide with classical metabolic threshold concepts based on metabolic and/or respiratory biomarkers. As mentioned above, the definition of intensity domain boundaries might involve different approaches based on performance indicators of external load (e.g., critical speed or power, CS, CP), subsystem indicators of internal load like BLC (e.g., lactate threshold, maximal lactate steady state), and/or gas exchange data (e.g., gas exchange threshold, GET), that interact with their corresponding different testing protocols. This may produce inconsistent results leading to substantially different delineations of the boundaries in a 3-zone-model of intensity zones, and is reflected in the still ongoing debate about gold standard approaches to delineate moderate from heavy, and especially heavy from severe intensity domains (Chicharro et al., 1997; Hopker et al., 2011; Pallarés et al., 2016; Jamnick et al., 2018; Iannetta et al., 2019a; Galán-Rioja et al., 2020; Poole et al., 2021). Therefore, misleading comparisons between protocols as well as undesired training outcomes in athletes attempting to emulate a proposed method are also present when other approaches are used, and this seems to be most problematic for the boundary of heavy to severe exercise intensity (Jamnick et al., 2018; Iannetta et al., 2019a; Galán-Rioja et al., 2020; Poole et al., 2021).

457

458 Taken together based on the present results and the available data of previous studies using
459 DFAa1 as an complementary exercise prescriptor (Rogers et al., 2021a,b; Mateo-March et al., 2022, van Hooren et al., 2023b, Schaffarczyk et al., 2023), it must be noted that for some individuals the present approach does not lead to an adequate specification of exercise intensity at the boundary of the heavy to severe exercise domain. Therefore, further investigations should be dedicated to the considerable differences of DFAa1-derived threshold determination for 20-vDFAa1-2 in EG, leading to overestimation of running velocity in this subsample. Here, for example the influence of individuality in time and intensity dependent changes in the scaling behavior of DFA (Molkkari et al., 2020; Kanniainen et al., 2023) as well as model fitting and model type (e.g., linear, polynomial, sigmoidal) of DFAa1-derived threshold determination could be subject to further investigations. In prior studies, we observed inappropriate suppression of correlation properties of HR time series in some individuals losing dynamic range of DFAa1 despite good ECG waveform and little artefact (van Hooren et al., 2023b). In addition, future studies should enlighten the relevance of standardization of methodological aspects (e.g., quality of data acquisition, pre-processing, artifact correction methods depending

473 on the type/mode of exercise and/or laboratory vs. field conditions) on DFAa1 derived exer-
474 cise prescription and evaluate more thoroughly the significance of primary internal (e.g.,
475 breathing) and external influencing factors (e.g., environmental conditions).

476

477 **Limitations**

478 The prolonged exercise bouts were too short to provide evidence whether our approach of
479 DFAa1 derived exercise prescription may be useful for typical duration of running training
480 (e.g., 30-60min), as these longer durations may even complicate potential “decoupling mecha-
481 nisms”. However, our data as well as findings from prior studies at least indicated that the
482 magnitude of duration-related influences and the potential for fatigue resistance assessment
483 during prolonged exercise regimes could be further evaluated using a DFAa1 approach (Gron-
484 wald et al., 2018, 2019, 2021b). Longer exercise bouts are also needed to increase sensitivity
485 for the evaluated EF. In addition, since external load was maintained constant for the pro-
486 longed exercise bouts the analysis of internal-to-external-load relationship and decoupling
487 mechanism would be more appropriate to use within the application in field conditions and/or
488 self-paced scenarios. Whether other theoretically appealing approaches using ratios of indi-
489 vidualy designed external to internal load markers like e.g., maximal or submaximal external
490 load markers like CP or CS in combination with DFAa1 and/or %HR recovery or %HR_{MAX}
491 might be helpful also remains an open question.

492

493 **Conclusion**

494 For most participants DFAa1 shows great potential as a dimensionless and systemic index for
495 internal load-based exercise prescription with a clear demarcation perspective for a 3-zone
496 training intensity distribution model. However, for some individuals the present approach
497 does not lead to an adequate separation of exercise intensities, especially not for the heavy to
498 severe exercise domains. Therefore, further investigations are recommended to account for
499 inter-individual differences and to better understand the relationship of DFAa1 and vDFAa1,
500 and its relevance for the time evolution of fatigue during prolonged constant load exercise. In
501 this regard the potential for internal load-based real-time monitoring and intra-individual in-
502 ternal-to-external load analysis as a regular biological calibration procedure accounting for
503 personal and environmental factors might be strengthened by further exercise specific meth-
504 odological refinements of DFA. In addition, future studies should elucidate possible decou-
505 pling mechanisms of DFAa1 and other internal load measures in relation to external load (and
506 other influencing factors, e.g., exercise mode, environmental conditions, pre-exhaustion) dur-
507 ing even longer exercise bouts that correspond to typical exercise durations of real-world run-
508 ning training (>30min).

509

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