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# Ketogenic and High-Carbohydrate Diets in Cyclists and Triathletes: Performance Indicators and Methodological Considerations From a Pilot Study

Supplementary materials: https://osf.io/ujx6e/ For correspondence: m.shah@tcu.edu; a.kreutzer@tcu.edu

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#### **Abstract**

Endurance athletes frequently employ nutritional strategies to enhance performance. While professional organizations recommend high carbohydrate (HC) diets to maximize performance, many athletes, and researchers have recently shown renewed interest in the ketogenic diet (KD) in hopes to promote "fat adaptation", which would allow athletes to make use of the essentially unlimited energy resources from stored body fat. This would circumvent one fatigue mechanism, the depletion of muscle glycogen stores, that has been considered central to performance outcomes in endurance events. The present study investigated the effects of participants' habitual diet (HD), HC, and KD on endurance performance in a 30-km

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simulated cycling time trial (TT), physiological responses during the TT, and muscle session fuel percentile (SFP) before and after the TT using ultrasonic imaging. Due to the COVID-19 pandemic, data collection ceased after only six recreational cyclists and triathletes (f = 4, m = 6; age:  $37.2 \pm 12.2$ ; VO2max:  $46.8 \pm 6.8$  ml/kg/min; weekly cycling distance:  $225.3 \pm 64.2$  km). Due to the small sample size, we do not report inferential statistics for our primary outcome measure, cycling performance. Participants completed the KD at the lowest power output. Oxygen consumption (VO<sub>2</sub>), heart rate (HR), and perceived exertion (RPE) during the TT were similar in all conditions. FATox rates were highest in the KD condition and lowest in the HC condition. SFP was lower during KD compared with HD and lower following the TT compared with fasted resting values across all conditions. We discuss methodological considerations into the use of exercise equipment, nutritional interventions, and statistical analysis strategies for study designs like the present. Further research is needed to assess the impact of HC and KD on TT performance in this population.

ClinicalTrials.gov Identifier: NCT04097171; OSF preregistration: https://osf.io/ujx6e/

#### Introduction

Nutritional interventions remain at the forefront of strategies employed by athletes to enhance their performance (1). Commonly approaches among endurance athletes (EA) include a high daily intake of dietary carbohydrate (CHO; 6-10 g/kg/day) and carbohydrate loading (10-12 g/kg/day) before an event, since low muscle glycogen is a well-established cause of fatigue (2, 3). Contrary to this traditionally favored strategy, EA and researchers have recently began expressing increased interest in a low carbohydrate, high-fat ketogenic diet (KD) again, for the third time since the 1980s (4). When following a KD, athletes typically limit their CHO intake to <50 g or 5-10% of their total daily energy intake (5). The proposed benefit of this diet approach is "fat adaptation", enabling the oxidation of fat as the main energy substrate at exercise intensities (e.g. >70% of maximal oxygen consumption [VO<sub>2</sub>max]) where the oxidation of CHO would typically predominate (6–8). This would essentially create unlimited energy resources, as the body can store more than 74,000 kcal in subcutaneous, visceral, and intramuscular fat (9). Despite its recent resurgence in popularity, the KD's restrictive nature counters the current dietary recommendations of several professional organizations, which state that low CHO availability before exercise is a significant component of diminished exercise capacity and performance (1, 10, 11).

Two factors influencing the effect of low CHO diets (LCDs) on endurance performance appear to be the length of adaptation and the duration and intensity of the event. Short-term LCDs of one to four days lead to impaired glycogen storage (12), which can cause substantial

decreases in exercise performance (12, 13). However, even with as little as five days of implementing LCDs, increased fat oxidation (FATox) rates have been reported (14–16). While this increase in FATox is a consistent finding among most studies investigating the effect of LCDs in EA (6, 17–24), the results regarding exercise performance are less clear.

Recent studies comparing KD to habitual (HD) or mixed control diets have shown decreases (25) or no differences (26) in time to exhaustion (TTE) following prolonged diet adherence. However, early studies employing a direct comparison of KD and high carbohydrate diet (HC) and their effects on prolonged endurance exercise performance have produced ambiguous results (7, 12, 27, 28). Lambert et al. (7) reported improved TTE at moderate cycling intensity (50% of peak power output [PPO]) following two weeks of KD compared with HC, but not at high intensity (85 % of PPO). Similarly, Burke et al. (18) reported no difference in 7 kl·kg-1 TT performance immediately following 120 min of steady state cycling at 70% of VO2max in eight well-trained male cyclists and triathletes, who adhered to a five-day LCD (2.4 g/kg/day CHO; 4 g/kg/day fat) with one-day CHO restoration compared with an isoenergetic HC (9.6 g/kg/day CHO; 0.7 g/kg/day fat). Prins et al. (23) compared the effects of a 42-day KD and HC on 5 km TT performance at four separate points of each diet in seven male recreational distance runners and found that running time was significantly faster during HC (60–65% CHO; 20% fat) when compared with KD (< 50 g/day CHO; 75-80% fat) on day four of each diet, but not at any other point during the diets. This again indicates that exercise performance might be maintained at higher intensities. However, in a more recent study, Burke et al. (19) compared the effect of a 3-week HC (8.6 g/kg/day CHO; 1.2 g/kg/day fat), a periodized CHO diet (8.3 g/kg/day CHO; 1.2 g/kg/day fat), and a KD (< 50 g/day CHO; 4.7 g/kg/day fat) on 10 km race performance in 21 elite male race walkers; they found that race time improved significantly in the HC and periodized CHO groups, but remained unchanged in the KD group. A recent replication study (20) produced similar results, with HC and periodized CHO leading to performance improvements and KD leading to a performance decrement. Additionally, Burke et al. (16, 19, 20) have elucidated a potential mechanism for performance impairment following a KD at higher intensities; specifically, they showed that exercise economy is reduced following a KD compared to HC and periodized CHO diets.

While a number of studies have investigated the effect of KD and HC on exercise performance, results remain conflicting (7, 16, 18–20, 22, 23), in part due to small sample sizes, limited participation of female athletes across a wide age range, heterogenous interventions, and testing protocols. Our current study employed a performance assessment (TT) that was representative of the type of races in which our population competes. This approach maximized the external validity of our study while still allowing measurements in a controlled laboratory setting. Finally, to our knowledge, no studies have used a randomized crossover

design that directly compares the effects of HD, KD, and HC on prolonged endurance performance.

We intended to address the gaps in the literature with the present study and aimed to collect data from 30 male and female cyclists across a wide age range (18-70 years old). We hypothesized that the HC would lead to improved performance (faster TT completion) compared with the KD and HD. However, due to restrictions on data collection caused by the COVID-19 pandemic, the results presented in the present manuscript should be considered as insights from a pilot study only, i.e., we were unable to address the issues of small sample sizes in this area of research. Since the originally estimated sample size to detect a meaningful difference in performance (see *Power Analysis* section) was not achieved, primary outcomes are presented as means and standard deviations only; reflections on potential inferential statistical analysis techniques and other methodological considerations regarding performance measurement, muscle glycogen estimation in response to the diets using high-frequency ultrasound (29), and participant adherence to the interventions are presented.

#### Method

#### Study Preregistration

This study was preregistered at Open Science Framework (<a href="https://osf.io/ujx6e/">https://osf.io/ujx6e/</a>) and at ClinicalTrials.gov (NCT04097171).

#### **Experimental Design**

The study employed crossover design, where each participant served as their own control. Participants adhered to 14 days each of a KD and an HC in a counter-balanced randomized order. Diet order was randomized employing block randomization in the blockrand package (30) in *R* (31). The syntax for the block randomization can be found at https://osf.io/ujx6e/. Participant eligibility, anthropometric measurements, and VO<sub>2</sub>max were determined during two screening visits. During the third visit, all participants completed the experimental procedures following their HD and ingesting a test meal with macronutrient contents similar to a typical American diet (32). During the KD and HC trials, participants underwent the same procedures, but consumed a test meal corresponding to their diet condition. A diagram showing the experimental design is presented in Figure 1. The study was approved by the TCU Institutional Review Board (IRB). All procedures were performed according to the Declaration of Helsinki principles for research involving human participants.

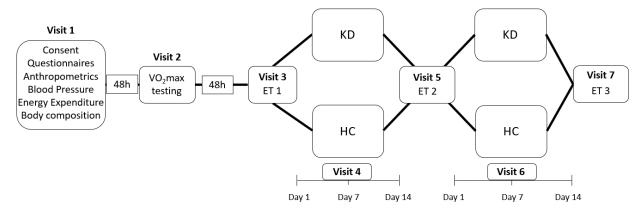


Figure 1. Study design. ET = Experimental Trial; KD = ketogenic diet (<5-10% of total energy intake from carbohydrates); HC= high carbohydrate diet (65-75% of total energy intake from carbohydrates); VO<sub>2</sub>max = maximal oxygen consumption

#### **Participants**

Endurance-trained recreational cyclists and triathletes were recruited from the local cycling and triathlon community using flyers, social media, and word of mouth. A total of 46 individuals were assessed for eligibility, 19 of which were unable to begin the study due to COVID-19 restrictions on in-person research. A further six participants started the study, but were unable to finish the entire protocol due to these restrictions. Thus, six participants (m = 2, f = 4) completed the study. The study was unable to achieve the originally estimated sample size of 30 participants due to data collection restrictions caused by the COVID-19 pandemic. Figure 2 presents a CONSORT diagram for the present study

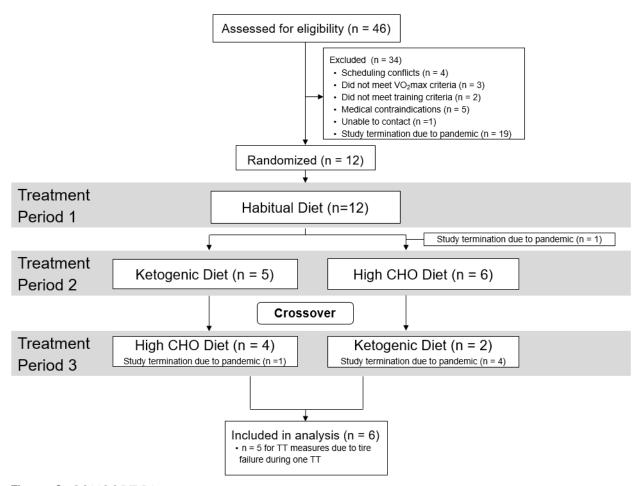


Figure 2. CONSORT Diagram

Participants were considered endurance trained if they self-reported  $\geq 100$  km/wk of cycling for the past year and achieved a VO<sub>2</sub>max above the 80th percentile for their sex and age group according to guidelines put forth by the American College of Sports Medicine (33) with a 5% adjustment for comparing cycle ergometry values to the treadmill derived ACSM norms (34). Participants included one male in Performance Level (PL) 2 and one male in PL 1 as described by De Pauw et al. (35). Further, our study included three female participants in PL 3 and one in PL 1 according to criteria established by Decroix et al. (36). We used relative VO<sub>2</sub>max as the primary criterion for categorization of our participants (35, 36). However, it is important to note that all participants achieved at least PL 3 based on weekly mileage and cycling experience. Further, the male participant classified as PL 2 would have achieved PL 4 or PL 5 based on absolute or relative PPO respectively. Participant characteristics are shown in Table 1 and have in part been previously reported elsewhere (37).

Table 1. Participants Characteristics at Screening.

	Total (n=6)	Male (n=2)	Female (n=4)
	Mean ± SD	Mean ± SD	Mean ± SD
Age (y)	37.2 ± 12.2	41.5 ± 20.5	35.0 ± 9.5
Height (cm)	172.3 ± 10.0	183.5 ± 1.0	$166.8 \pm 5.0$
Body mass (kg)	68.5 ± 17.5	89.1 ± 7.1	$58.2 \pm 8.3$
BMI (kg/m²)	$22.7 \pm 3.4$	$26.5 \pm 2.3$	$20.9 \pm 2.0$
Body fat (%)	$21.3 \pm 4.6$	$21.1 \pm 7.2$	$21.4 \pm 4.2$
Fat-free mass (kg)	53.8 ± 13.2	$70.1 \pm 0.8$	$45.6 \pm 5.0$
Fat mass (kg)	$14.7 \pm 5.9$	$19.07 \pm 7.9$	$12.6 \pm 4.2$
VO₂max (mL/kg/min)	$46.8 \pm 6.8$	$47.2 \pm 6.7$	$46.6 \pm 7.9$
VO₂max(L/min)	$3.2 \pm 0.9$	$4.2 \pm 0.5$	$2.7 \pm 0.2$
PPO (W)	295.5 ± 73.1	372.5 ± 74.2	257.0 ± 33.7
PPO (W/kg)	$4.4 \pm 0.7$	$4.2 \pm 1.2$	$4.5 \pm 0.6$
Cycling experience (years)	$6.0 \pm 4.3$	$6.5 \pm 4.9$	$5.8 \pm 4.8$
Cycling frequency (days/wk)	$4.5 \pm 1.0$	$4.5 \pm 0.7$	$4.5 \pm 1.3$
Cycling distance (km/wk)	225.3 ± 64.2	217.0 ± 33.9	229.5 ± 80.0
RMR (kcals/d)	1617.3 ± 314.7	1999.5 ± 68.6	1426.3 ± 132.0

SD = standard deviation; BMI = body mass index;  $VO_{2max}$  = maximal oxygen consumption; PPO = peak power output; RMR = resting metabolic rate

Exclusion criteria included the self-reported use of medications or supplements to lose weight, following a ketogenic (<10% or less of total energy intake from carbohydrates), a high carbohydrate diet (>65% of total energy intake from carbohydrate), or weight loss diet. Further, nicotine use or heavy alcohol consumption (>14 drinks/week for males; >7 drinks/week for females) were considered reasons for exclusion. Potential participants were also excluded if they self-reported any food allergies to ingredients used in our test meals. Known cardiovascular disease was cause for exclusion unless participation was approved by the participant's cardiologist. Self-reported presence of diabetes, stroke, anemia, eating disorders, uncontrolled hypertension, or pulmonary, liver, kidney, and untreated thyroid disease, or orthopedic, arthritis, or musculoskeletal problems that would have prevented exercise excluded prospective participants from enrolling in the study. Potential participants were also excluded if they had undergone surgery that had lasting effects on swallowing or digestion.

#### **Power Analysis**

We performed a simulation-based power analysis using the Superpower package (38) in R (31). Based on unpublished data collected in our lab in a representative sample, we expected the TT to take approximately  $60 \pm 6$  min. The within-subjects correlation between repeated time trials in our pilot work was 0.98; high within-subjects correlations (r = 0.89) have been shown in the existing literature (18). To employ a conservative approach, we elected to use the average of the within-subjects correlation in our pilot work and in Burke et al. (18), resulting in r = 0.93 for our power analysis. We analyzed finishing times from the past four years (2015-2018) of the Texas State Time Trial Championships to establish a practically meaningful effect size. In male and female athletes of age groups up to 55+ years old, the average finishing time of the top 10 riders was 61  $\pm$  6 min. On average, an improvement of 1.5 min would have resulted in a rider moving up by one place in the final standings. Therefore, we decided on a meaningful difference of 90 seconds for our power analysis. All finishing times used in our analysis can be found at <a href="https://osf.io/ujx6e/">https://osf.io/ujx6e/</a>. At an alpha level of 0.05, our power analysis revealed that 30 participants would have yielded 90% power for the omnibus linear model for time to completion (TTC) of the 30-km TT. The syntax for the power analysis can be found at https://osf.io/ujx6e/. As discussed, we were unable to reach our desired sample size due to COVID-19 restrictions on in-person research. Therefore, we do not present any inferential statistics for our primary outcome measure.

#### Screening

#### Visit 1

Following a 12-hour overnight fast, participants reported the laboratory for Visit 1, which included completing informed consent and demographic, behavioral, and health questionnaires. Additionally, participants underwent anthropometric measurements (height, body mass, waist, and hip circumference) and blood pressure (BP) measurements. Further, we assessed participants' body composition using air displacement plethysmography (ADP) with measured thoracic lung volume (BOD POD, COSMED USA Inc., Concord, CA). Following body composition and anthropometric measurements, we assessed participants' resting metabolic rate (RMR) via indirect calorimetry using the ParvoMedics TrueOne® 2400 metabolic cart (ParvoMedics, Sandy, UT, USA) with a ventilated hood system. BP measurements were performed in triplicate, using an automated blood pressure monitor (Omron M6 Comfort IT, Omron, Milton Keyes, UK) as described by the American College of Cardiology/American Heart Association Task Force (39).

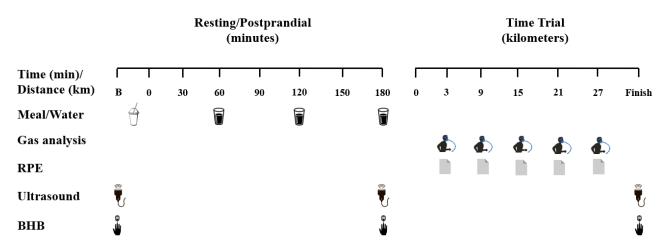
#### Visit 2

At Visit 2, participants performed an incremental exercise test to task failure to determine VO<sub>2</sub>max using a CompuTrainer® ergometer (RacerMate Inc., Seattle, WA). Participants were instructed to refrain from any exercise in the 24 hours leading up to VO<sub>2</sub>max testing and to only perform light or moderate exercise 24-48 hours before testing.

#### **Experimental Trials**

Participants reported to the laboratory following a 12-hour overnight fast. Additionally, they performed only light to moderate exercise 24-48 hours prior to testing and refrained from all exercise in the 24 hours leading up to the experimental trials (ET). Upon arrival, participants underwent measurements of body mass, BP, and capillary beta-hydroxybutyrate (BHB) concentration, and an ultrasonic assessment of the right and left rectus femoris (RF). Following resting measures, participants consumed a liquid test meal approximately 180 min prior to the start of the TT. They were allowed 10 min to consume the test meal in its entirety; time to consume the meal was standardized between trials based on the time taken for consumption of the meal during the initial trial. Following 180 min of supine rest and postprandial measures described elsewhere (37), participants underwent RF ultrasound assessment and provided capillary samples for BHB measurement. Then, they completed a 30-km simulated cycling TT. A diagram showing all measures performed during each experimental trial is presented in Figure 3.

#### **Experimental Trials**



**Figure 3.** Experimental Trial Procedures. RPE = Rating of Perceived Exertion; BHB = beta hydroxybutyrate.

#### Dietary Interventions, Compliance, and Physical Activity

Dietary interventions, compliance measures, and experimental controls regarding physical activity are described in detail elsewhere (37). Briefly, participants completed 3-day dietary records to quantify their HD before ET 1. Thereafter, they followed a KD (<10% CHO, 75-85% FAT, 15% PRO) and HC (>65% CHO, <20% FAT, 15% PRO) in randomized order. We considered participants to be compliant with the diet if they met CHO macronutrient percentages on at least 80% of days. Compliance with the diets was assessed by a registered dietitian (RD) via daily diet logging and daily check-ins using mobile applications (WhatsApp, WhatsApp Inc., Mountain View, CA; NutritlO, Bucharest, Romania). Further, participants provided capillary BHB samples at each ET and seven days into each diet, as well as daily images of urinary ketone body test strips (VALI, CA) to test for ketosis, i.e., urinary BHB concentration  $\geq$  0.5 mmol/L (40). We instructed participants to attempt to maintain body mass throughout the study and considered weight maintenance as a body mass loss or gain of no more than 5%.

During experimental trials, participants consumed liquid test meals containing 60% of the participants' measured RMR (kcals/day). Test meal compositions corresponded to a standard American Diet for HD (31.4% FAT, 53.4% CHO, 15.2% PRO) and to the respective dietary interventions following HC (15.7% FAT, 69.1% CHO, 15.2% PRO) and KD (75.1% FAT, 9.5% CHO, 15.4% PRO); test meal volumes and caloric content were the same across conditions. Test meals were consumed in the same amount of time in each condition. Participants consumed standardized amounts of water during the postprandial period and were provided with and instructed to ingest the same volume of water during each TT.

We instructed participants to keep their training levels stable throughout the study and monitored physical activity using self-reported written training logs including distance covered, time spent, and rating of perceived exertion for the session (RPE; 1-10). We calculated session RPE (sRPE) by multiplying the indicated RPE by the time elapsed during the session.

#### Measures

#### Exercise Equipment

To ensure familiarity with the exercise equipment and to avoid learning effects across trials, participants completed all testing on their personal bicycles mounted to a CompuTrainer® cycling ergometer (RacerMate Inc., Seattle, WA), which has previously been shown to be reliable in TT tasks similar to the present study (41). The CompuTrainer® was calibrated according to manufacturer's recommendations, and tire pressure was standardized for each trial at 100 psi. Participants were asked to remove devices from their bicycles or

deactivate any devices that could give them feedback on their exercise performance, such as power meters and cycle computers. The only data displayed to participants during the TT were distance and gradient of the road.

#### VO₂max Testing

For the 24 hours leading up to testing, participants were asked to refrain from all exercise. For the initial incremental maximal exercise test, participants warmed up for 5 min at a self-selected intensity. Thereafter, participants began the incremental test at a load of 50-100 watts (W). Exercise intensity was increased by 25 W per minute until task failure. Oxygen uptake (VO<sub>2</sub>) was continuously monitored using a TrueOne 2400 metabolic cart (Parvo Medics, Sandy, UT, USA) and heart rate (HR) was collected throughout the test using a Polar H7 HR monitor (Polar Inc., Lake Success, NY). VO<sub>2</sub>max was defined as the highest 30-second VO<sub>2</sub> value obtained during the test. To ensure validity of the VO<sub>2</sub>max measurement, participants performed a validation bout at 110% of their peak power output (PPO) achieved in the initial test following at least 15 min rest as described by Poole & Jones (2017). PPO was calculated as described by Hawley & Noakes (1992):

$$PPO = P_{final} + \left(\frac{t}{60} \times 25\right),$$

where  $P_{final}$  is the highest work rate achieved and t is the time completed in the final stage.

Following a two-minute warmup at 100 W, participants performed a steady work rate test that achieved exhaustion within three to six min. If the greatest  $VO_2$  measured during this validation test did not exceed the  $VO_2$ max measured during the incremental test, considering a possible ~3% measurement error based on the equipment used, the achievement of a  $VO_2$  plateau was accepted. When the  $VO_2$  achieved during validation exceeded that measured during the incremental test, a new incremental test was performed on a separate day.

#### Performance Assessment

Participants completed a simulated 30-km time trial (TT) 180 min following ingestion of the test meal. With their personal bicycle mounted to the CompuTrainer® and tire pressures standardized at 100 psi, participants performed a 10-minute warm up followed by calibration of the press-on force (POF) of the load generator per manufacturer's guidelines. Participants then completed the 30-km TT on a virtual course in the RacerMate One™ software (RacerMate Inc., Seattle, WA). A copy of the course file can be found at <a href="https://osf.io/uix6e/">https://osf.io/uix6e/</a>. Participants

were instructed to complete the TT as quickly as possible and were verbally encouraged throughout the trial. Participants' HR was monitored continuously using a Polar H7 HR sensor and chest strap (Polar Electro Oy, Kempele, Finland). Respiratory gas measurements and ratings of perceived exertion (RPE) on a 6-20 Borg Scale were collected at 3 km and every 6 km thereafter.

#### Respiratory Gas Analysis

Respiratory gas measurements were collected using an open circuit automated gas analysis system (TrueOne2400, Parvo Medics, Sandy, UT). Participants breathed through a two-way valve (Hans Rudolph, Shawnee, KS) attached to a 7450 Series Silicone V2TM Oro-Nasal Mask (Hans Rudolph) for three min at each collection time point. Substrate oxidation was calculated using the following equations (42), which assume a non-protein RER:

CHO oxidation (g/min) =  $4.585 \times VCO_2 - 3.226 \times VO_2$ Fat oxidation (g/min) =  $1.695 \times VO_2 - 1.701 \times VCO_2$ 

#### Muscle Ultrasound

Session fuel percentile (SFP) was determined using ultrasonic assessment of the right and left rectus femoris (RF). SFP provides an estimate of the muscle content of glycogen and other constituents based on the mean pixel intensity of an ultrasound image. Ultrasonic imaging was performed with a diagnostic high-resolution GE LOGIQ-e (GE Healthcare, Milwaukee, WI) using a 9L transducer at 8 Hz. Images from both RF were taken in triplicate. Ultrasound images were uploaded via DICOM to a secure cloud-based web application (MuscleSound Inc, Denver, CO), which analyzes the echogenicity of the ultrasound image as an estimate of the content of muscle glycogen and other constituents. This method has been shown to correlate highly with glycogen content measured by muscle biopsy (29, 43). However, some studies have questioned the validity and utility of this technique (44, 45). In the present study, we investigated whether the MuscleSound® system was able to detect assumed changes in muscle glycogen content resulting from dietary interventions and a 30-km TT. Following recommendations in personal communications with the company, we used the SFP score, which was implemented after publication of the MuscleSound® position stand on the application of the system (46).

#### Resting Metabolic Rate

RMR was measured by indirect calorimetry using the TrueOne® 2400 (ParvoMedics, Sandy, UT, USA) indirect calorimeter with a ventilated hood system following a 12-hour

overnight fast from food, supplements, and medication and a 24-hour abstinence from exercise. The first ten min of the 30 min measurement period were used to allow the participants to achieve resting status; the final 15 min were used for analysis.

#### Air Displacement Plethysmography

Participants entered the BOD POD (COSMED USA Inc., Concord, CA) wearing a bathing suit or cycling kit with all hair collected into a swim cap. Thoracic lung volume were measured during the test using the BOD POD system.

#### Data Analysis

#### Time to Completion and Average Power Output

As described above, the study was powered based on a TTC analysis of finishing times at the Texas State Time Trial Championships. Thus, we deemed TTC for the present TT our primary outcome measure. However, following the completion of three participants, we identified an error in our protocol that caused assigned rider weights (RW) in the RacerMate One™ software to be incorrect for some participants/conditions. The software calculates the speed the avatar achieves on the virtual course using RW, bike weight, road gradient, and measured power output. Thus, several finishing times were incorrect. Therefore, we present the average power outputs during the TT as our measure of endurance performance below. Further, we discuss considerations regarding the calculations that produce speed output from power input in the RacerMate One™ software in the *Discussion* section. As detailed above, since we did not achieve the desired statistical power, we only present means and standard deviations for these outcome measures; inferential statistics are not presented.

#### Statistical Analysis

All analyses were performed in the *R* statistical environment (31). One participant with missing data for one TT (tire failure at 26 km) was removed from the analysis of average power output. All analysis scripts and data used in this manuscript can be found at <a href="https://osf.io/ujx6e/">https://osf.io/ujx6e/</a>.

#### Exploratory Analyses.

Missing data for exploratory analyses (e.g., SFP) were imputed using the *MICE* package in *R* (47) using the PAN method created by Schafer and Yucel (48). Exploratory variables were analyzed using a linear mixed-effects model with a Holm-Bonferroni post *hoc* test using the *Ime4* and *emmeans* packages in *R* (49, 50). Fixed effects for these models include diet (HD, KD,

HC) and TT time points (3km, 9km, 15km, 21km, 27km). Participant intercept was treated as a random effect. While prior research would have allowed the generation of directional hypotheses regarding RER, substrate oxidation, and RPE, we treated these variables as exploratory, since we did not power the study to these variables. Alpha level was set at 0.05 for all exploratory analyses.

#### Control Variables.

Dietary intake, body mass, physical activity, environmental conditions during the TT, and capillary BHB were treated as control variables. Potential mean differences in body mass by diet condition, dietary intake, and capillary BHB were analyzed using linear mixed-effects models as explained above. Differences in environmental conditions (humidity and fluid intake), were analyzed using standard linear models. We did not perform statistical analysis of lab temperature, since the temperature was 22.0 degrees during all but four trials, where the temperature was 21.0 degrees. Potential mean differences in physical activity (total distance and sRPE) between diet conditions were assessed using paired t-tests.

#### Assumption Checks.

Visual inspection of residual plots confirmed that normality and homoscedasticity assumptions were met for all analyses.

#### **Results**

#### Cycling Performance

#### Average Power Output

Five participants completed all three TT (m = 1, f = 4). One additional participant completed the TT in the HD and HC conditions but had to abort the trial in the KD condition due to a tire failure at 26 km; he completed all other measures in the KD condition. Average power output was greatest in the HC condition (199.7  $\pm$  92.2 W), followed by HD (188.0  $\pm$  80.6 W) and KD (172.0  $\pm$  93.2 W). A raincloud plot of average power outputs is presented in Figure 4.

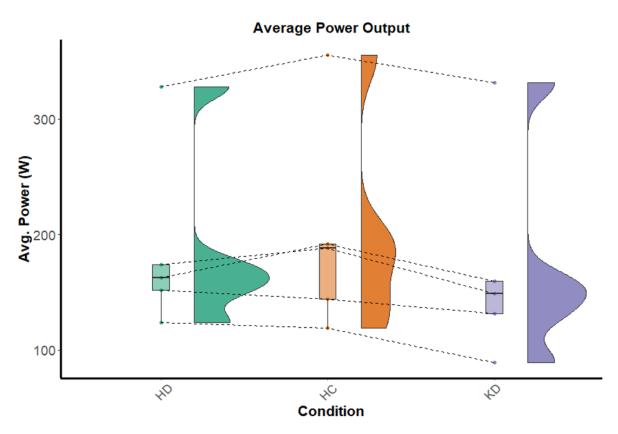


Figure 4. Average power output during the Time Trial (n = 5). HD = habitual diet; HC = high carbohydrate diet; KD = ketogenic diet.

#### Physiological Responses during the TT

#### Oxygen Consumption

VO<sub>2</sub> during the TT was similar in all conditions across all time points. During the HD and HC condition, participants relative VO<sub>2</sub> was 29.9  $\pm$  7.1 ml/kg/min (63.8  $\pm$  10.0% VO<sub>2</sub>max) and 29.9  $\pm$  7.1 ml/kg/min (63.6  $\pm$  6.9 % VO<sub>2</sub>max) respectively. In the KD condition, participants cycled at 58.6  $\pm$  15.4 % of their VO2max (27.8  $\pm$  7.1 ml/kg/min). There were no main effects for condition, F(2, 69) = 1.853, p = 0.165,  $\eta^2_p$  = 0.05, or time, F(4, 69) = 0.995, p = 0.416,  $\eta^2_p$  = 0.05, and no time x condition interaction F(8, 69) = 0.556, p = 0.810,  $\eta^2_p$  = 0.06.

#### Heart Rate

There was no main effect for condition, F(2, 69) = 0.387, p = 0.680,  $\eta^2_p = 0.01$ , and no time by condition interaction, F(8, 69) = 0.270, p = 0.974,  $\eta^2_p = 0.03$ , for HR during the TT. Participants' HR was  $163 \pm 17$  beats/min,  $161 \pm 22$  beats/min, and  $162 \pm 21$  during HD, KD, and

HC respectively. Mean HR rose throughout all trials (3km: 159  $\pm$  17 beats/min; 27km: 167  $\pm$  23 beats/min), but this increase was not statistically significant, F(4, 69) = 2.439, p = 0.055,  $\eta^2_p = 0.12$ .

#### Substrate Oxidation

There were main effects for condition (F(2, 69) = 118.178, p < 0.001,  $\eta$ 2p = 0.77) and time (F(4, 69) = 6.855, p < 0.001,  $\eta$ 2p = 0.28) for CHOox, but not time x condition interaction (F(8, 69) = 1.177, p = 0.326,  $\eta$ 2p = 0.12). During KD, participants oxidized significantly more CHO compared with HD (Mean Difference [MD] = -1.11 g/min; 95% CI [95CI] = -1.37, -0.86; t(69) = -10.856; p < 0.001) and HC (MD = -1.53 g/min; 95CI = -1.78, -1.28; t(69) = -14.9; p < 0.001). Additionally, CHOox was significantly greater in the HC condition compared with HD (MD = 0.42 g/min; 95CI = 0.06, 1.58; t(69) = 3.41; p < 0.001). Across all condition, CHOox decreased significantly following the 3km measurement (1.87 ± 0.75 g/min) with the lowest average CHOox measured at 21km (1.54 ± 0.76. g/min).

FATox opposed the pattern of CHOox: it was greatest in KD (0.62  $\pm$  0.11 g/min), followed by HD (0.32  $\pm$  0.11 g/min), and HC (0.14  $\pm$  0.11 g/min), F(2, 69) = 69.101, p < 0.001,  $\eta$ 2p = 0.74. Averaged across conditions, FATox was lowest at 3km (0.26  $\pm$  .12 g/min) and highest at 15km (0.41  $\pm$  0.12 g/min); a main effect for time was observed, F(4, 69) = 3.629, p = 0.010,  $\eta$ 2p = 0.17. There was no time x condition interaction for FATox, F(8, 69) = 0.445, p = 0.890,  $\eta$ 2p = 0.05. Substrate oxidation during the TT is presented in Figure 5.

# **Substrate Oxidation** Α 5.0 -HD -HC -KD CHOox (g/min) 4.0 3.0 2.0 1.0 0.0 3 km 9 km 15 km 21 km 27 km -1.0 В 1.5 FATox (g/min) 1.0 0.5 0.0 3 km 9 km 15 km 21 km 27.km -0.5 HD HC -1.0

Figure 5. Substrate oxidation during the Time Trial (n = 5). A = Carbohydrate oxidation (CHOox); B = Fat oxidation (FATox). HD = habitual diet; HC = high carbohydrate diet; KD = ketogenic diet.

**Time Point** 

#### Perceived Exertion

RPE was similar across all three conditions, F(2, 69) = 2.244, p = 0.114,  $\eta^2_p = 0.06$ ; participants reported RPEs of  $14.5 \pm 1.2$  for HD,  $14.9 \pm 0.8$  for KD, and  $15.0 \pm 1.1$  for HC. Perceived exertion significantly increased throughout the trial from  $13.1 \pm 1.2$  at 3km to  $16.3 \pm 1.0$  at 27km (time main effect: F(4, 69) = 23.655 p < 0.001,  $\eta^2_p = 0.58$ ). RPE throughout the TT is shown in Figure 6.

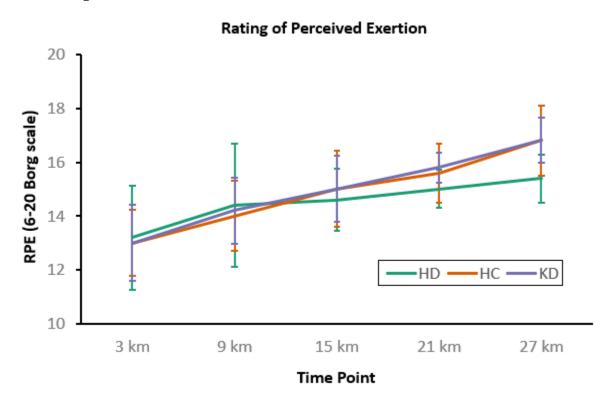
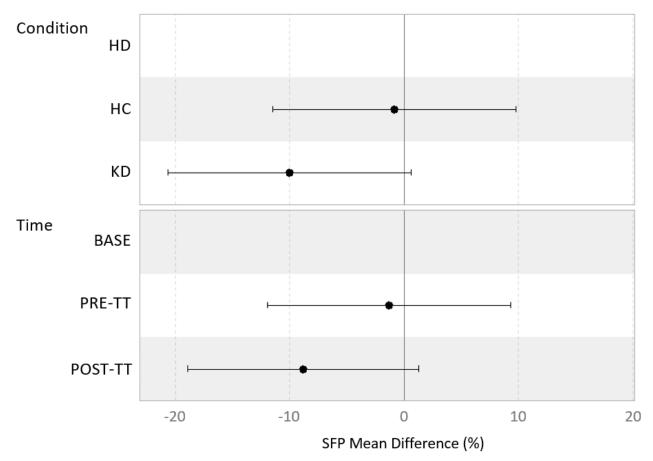


Figure 6. Rating of Perceived Exertion (RPE) during the Time Trial (n = 6). HD = habitual diet; HC = high carbohydrate diet; KD = ketogenic diet. Data are presented as estimated marginal means  $\pm$  SD.

#### Muscle Ultrasound

Figure 7 shows estimated mean differences in SFP by condition and time following 100 imputations of missing data using the MICE package with the PAN method, as described above. Pooled estimates across the 100 imputations were compatible with a lower SFP following two weeks of KD compared with HD, MD = -10.0, 95CI [-21.0, 0.6], p = 0.063. Similarly, pooled estimates were compatible with lower SFP following the TT compared with baseline measures, MD = -8.8, 95CI [-19.0, 1.3], p = .0085. SFP was similar between HD and HC, as well as between baseline and PRE-TT measures. There appeared to be no interactions between condition and time.

## Estimated Mean Difference in Session Fuel Percentile (%)



**Figure 7.** Estimated Mean Difference in Session Fuel Percentile (n = 6) following 100 imputations of missing data. Error bars represent 95% Confidence Intervals. HD = habitual diet; HC = high carbohydrate diet; KD = ketogenic diet. BASE = fasted baseline measure; PRE-TT = 180 min following the test meal, immediately prior to the TT; POST-TT = immediately following the TT.

#### **Control Variables**

Means and standard deviations for all control variables are reported in Table 2 and have been in part reported elsewhere (37).

**Table 2.** Control variables for the three diet conditions (n = 6).

	HD	KD	HC
Total Energy Intake (kcal)	2140 ± 555	2447 ± 509	2418 ± 652
Carbohydrate (% total energy)	45.8 ± 6.9	$8.7 \pm 2.9$	$63.3 \pm 8.8$
Fat (% total energy)	38.2 ± 7.8	64.1 ± 5.4	$20.8 \pm 7.6$
Protein (% total energy)	16.5 ± 4.2	26.0 ± 2.9	14.4 ± 3.2
Body Mass (kg)	68.7 ± 17.5	66.4 ± 16.8	68.6 ± 17.3
Average Training sRPE (A.U.)	-	482 ± 225	579 ± 262
Total Training Volume (km)	-	339 ± 165	365 ± 188
Fluid Intake During TT (mL)	383 ± 74	352 ± 146	343 ± 100
Fasting BHB (mmol/L)	$0.27 \pm 14$	0.99 ± 61	$0.10 \pm 18$
Ambient Temperature (°C)	$21.8 \pm 0.4$	21.7 ± 0.5	$21.8 \pm 0.4$
Relative Humidity (%)	51.3 ± 6.0	$36.8 \pm 8.4$	36.5 ± 12.2

Data are presented as means  $\pm$  SD. HD = habitual diet; KD = ketogenic diet; HC = high-carbohydrate diet; sRPE = session RPE; TT = time trial; BHB = beta hydroxybutyrate.

#### Dietary Intake and BHB

Detailed dietary intake and BHB results are reported elsewhere (37). Briefly, participants consumed similar amounts of total daily energy. Further, participants had the greatest protein intake during KD when compared with HD and HC. As intended, CHO consumption was greatest in HC and lowest in KD. Fat consumption was highest in KD and lowest in HC.

Capillary BHB was greater following KD compared with HC and HD, indicating successful compliance with the diet. This is further reflected in the daily urinary ketone measurements during the KD, which averaged  $1.82 \pm 0.52$  mmol/L during the KD.

#### Body Mass

Detailed changes in boy mass during the interventions are reported elsewhere (37). Briefly, participants weighed significantly less following the KD compared with HD and HC. There was no significant difference in body mass between HD and HC conditions. It is important to note that, while all participants lost weight during the KD, none of them surpassed our threshold of 5% body mass loss.

#### Training

As reported elsewhere (37), participants' training was similar between HC and KD. There were no significant differences in total kilometers cycled or sRPE when comparing the two diet conditions.

#### Water Intake during the TT

Water intake during the TT was similar between conditions, F(2, 15) = 0.214, p = 0.810,  $\eta^2_p = 0.028$ . Participants consumed 383 ± 74 mL, 352 ± 146 mL, and 343 ± 100 mL of water during HD, KD, and HC respectively.

#### Environmental Conditions during the TT

Temperature in the lab was consistent across all trials averaging 21.8  $\pm$  0.4 °C during HD, 21.7  $\pm$  0.5 °C during KD, and 21.8  $\pm$  0.4 °C during HC. There was a significant effect of condition on relative humidity during the TT, F(2, 15) = 5.037, p = 0.021,  $\eta^2_p$  = 0.402. Humidity was greatest during HD (51.3  $\pm$  6.0 %); it was similar between KD (36.8  $\pm$  8.4 %) and HC (36.5  $\pm$  12.3 %).

### Discussion

Methodological Insights and Considerations

#### Equipment and Outcome Measure Selection

#### Cycle Ergometer.

Based on participant feedback during previous studies and pilot work as well as to minimize learning effects, we chose to use the CompuTrainer® cycle ergometer as our testing device. This allowed participants to mount their own bicycle to the ergometer maximizing familiarity with the equipment. In prior work in our laboratory, some participants had voiced

concerns that bicycle fit was suboptimal with other ergometers, such as the Velotron Pro (RacerMate Inc., Seattle, WA) and Monark Ergomedic 894e (Monark, Sweden). In a meta-analysis by Hopkins et al. (51), cycle ergometers that allowed participants to use their own bicycles produced some of the smallest coefficients of variation (CV) in the study. Participants in the present study expressed that they favored using their own equipment over using other ergometers, validating our choice of equipment.

However, certain challenges can come with the use of ergometers that allow participants to use their own bicycles. First, tire inflation pressure, and press-on force (POF) between the tire and the friction roller of the load generator must be standardized for each condition between conditions. The manufacturer's manual for the CompuTrainer® suggests inflating tires to the maximum rated tire pressure and provides a guide for setting the POF based on maximal road gradients or maximal expected power output during the exercise bout. We decided to standardize tire pressure at 100 psi unless the tires were rated for lower pressure. However, unbeknownst to the investigators present at the trial, one of our participants used an inner tube in a tubeless tire during one TT, causing over inflation and tire failure. This illuminates another challenge in allowing participants to use their own bicycles: the need to ensure that participants don't make changes to their equipment between trials. One of our participants changed tires between conditions; the new tires were rated at a lower pressure than the ones he used in the initial trial. However, the participant had discarded the old tires, thus making it impossible to keep tire pressure constant across trials. Data for this participant are not included in this manuscript, since we had to terminate the study prior to his final ET due to COVID-19 regulations.

#### Performance Measure.

To maximize external validity, we decided to use a TT that was similar in length (time) to what our participants typically experience in competition. To align our statistical inference with this strategy, we powered our study to be able to detect a practical meaningful difference of 90 seconds between the HC and KD conditions, which, on average, reflected an improvement of one position in the final standings of the Texas State Time Trial Championships across the past four years. Thus, we selected time to completion (TTC) as our primary outcome measure. While we have used TTC successfully in previous work using the Velotron and Monark 894e, the use of this measure with the CompuTrainer® created additional challenges. As described above, an error in our protocol caused inconsistencies in the rider weight (RW) used during CompuTrainer® setup. While the RacerMate One™ software manual provides load curves for the ergometer, we were unable to determine the exact formula to translate power output (W) to speed (km/h); one factor influencing this is the built-in Drag Factor™ (DF) function, which allows users to set a percentage based "drag factor" equivalent to an estimated coefficient of

aerodynamic drag multiplied by the frontal area of the rider (CdA). The default value for this and rolling resistance are unknown to the authors. Our initial strategy was to recalculate finishing times for each participant by using the speed achieved per watt measured during the initial TT (following their HD). We applied this speed-per-watt factor to the measured power outputs for all other trials to recalculate finishing times (Table 3). Calculation scripts and speed-per-watt data for each rider by road gradient can be found at <a href="https://osf.io/uix6e/">https://osf.io/uix6e/</a>.

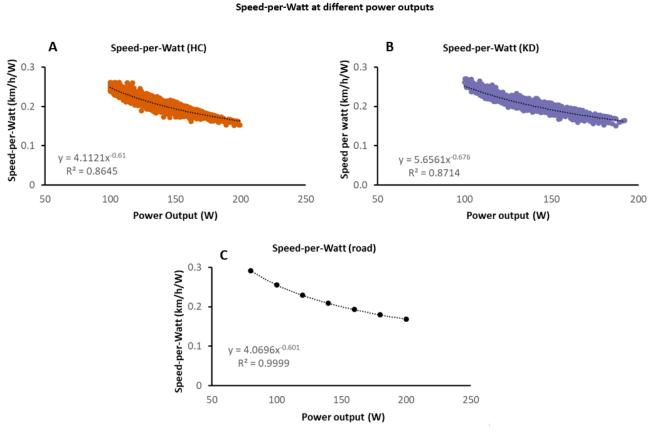
**Table 3.** Individual values for power output, speed, time-to-completion, and recalculation of time-to-completion.

33							AVG	AVG SPD	
		RW	POF	AVG POW	AVG SPD	TTC	SPD/WATT	REC	TTC REC
_ID	COND	(kg)	(lbs)	(W)	(km/h)	(min)	(km/h/W)	(km/h)	(min)
80	HD	57.2	3.20	173.84	31.56	57.03	0.183	31.87	56.48
	HC	94.8	3.12	188.26	30.53	58.96	0.165	34.51	52.16
	KD	54.0	3.15	148.87	29.54	60.94	0.200	27.29	65.96
12	HD	83.0	4.67	328.31	37.38	48.15	0.115	37.81	47.60
	НС	83.9	4.67	355.31	39.45	45.63	0.112	40.92	43.99
	KD	83.9	4.43	331.63	38.54	46.70	0.117	38.20	47.13
14	HD	57.6	3.38	162.66	30.33	59.35	0.188	30.55	58.92
	НС	54.9	3.17	191.67	33.04	54.48	0.173	36.00	50.01
	KD	54.9	3.24	159.31	30.19	59.62	0.192	29.92	60.16
17	HD	68.9	3.01	151.95	29.37	61.29	0.196	29.71	60.59
	НС	68.0	3.07	144.29	28.38	63.41	0.199	28.21	63.81
	KD	68.0	3.06	131.31	27.35	65.82	0.208	25.67	70.12
28	HD	68.9	2.87	123.60	25.55	70.46	0.210	25.98	69.30
	НС	67.9	2.71	118.88	25.58	70.36	0.217	24.98	72.05
	KD	68.0	2.75	88.87	21.60	83.33	0.2443	18.68	96.38

RW = rider weight; POF = press-on force; AVG POW = average power output; AVG SPD = average speed; TTC = time-to-completion; AVG SPD/WATT = average speed-per-watt; REC = recalculated based on AVG SPD/WATT achieved in HD.

Using the crude estimation of speed-per-watt employed for our recalculation of TTC, it appears that even when setting the RW and POF to nearly identical values a meaningful

difference in speed and finishing time arises. Participant 17 completed the KD (RW: 68.0 kg; bike weight (BW): 10 kg; POF: 3.06 lbs.; DF: 100%) and HC (RW: 68.0 kg; BW: 10 kg; POF: 3.07; DF: 100%) with nearly identical settings but received meaningfully different speed-per-watt values. This is in part due to the increase in CdA with increasing speed, as the wind resistance experienced by a rider becomes greater at higher speed. With the participant riding slower during KD, the software correctly generated greater speed-per-watt in this condition compared with HC. To control this factor and to further investigate the speed achieved for the power applied, we analyzed speed-per-watt at different power outputs across the two trials. Further, we compared these numbers to a model of overground road cycling (52), which allows manual entry of all parameters associated to cycling (Figure 8).



**Figure 8.** Speed-per-Watt at different power outputs. HC = high carbohydrate diet; KD = ketogenic diet; road = speed-per-watt modeled using a road cycling model calculator.

We limited the analysis to flat stretches of the TT to eliminate the effect of road gradient and only included power outputs between 100 W and 200 W. It was apparent, that speed-perwatt values fluctuated greatly immediately following return from a descent to a flat stretch on the course After removing the 20 seconds following each descent and large outliers based on visual inspection of the graph, we fit a power function for all three analyses.

As Table 4 shows, even small differences in the speed-per-watts conversion, can have meaningful effects on finishing time during a simulated TT. At a fictitious power output of 150 in a flat TT, the conversion alone would lead to a difference of 44.4 seconds in TTC. These conversion calculations were highly sensitive to the inclusion/exclusion of individual datapoints as the same power input can result in different instantaneous speed output. Actual differences might not be as large, as individual datapoints account for only one second of the speed achieved. However, in the HC trial shown above, power output was measured at 150W on flat road sections 41 times, with speed-per-watt ranging from 0.179 km/h/W (26.8 km/h) to 0.202 km/h/W (30.3 km/h). It is important to note, that despite these challenges, the CompuTrainer® very closely mirrors the time achieved in an overground road cycling TT.

**Table 4.** Speed-per-watt comparisons.

	POW (W)	Formula	SPD/WATT (km/h/W)	TTC CALC (min)
HC	150	$y = 4.1121x^{-0.61}$	0.193485	62.02
KD	150	$y = 5.6561x^{-0.676}$	0.1912	62.76
Road model	150	$y = 4.0696x^{-0.601}$	0.200318	59.90

HC = high-carbohydrate diet; KD = ketogenic diet; POW = power output; SPD/WATT = speed-per-watt; TTC CALC = calculated time-to-completion.

Despite some limitations regarding the conversion of power output to speed and the challenges of standardizing between conditions, we believe the CompuTrainer® is an effective tool for performance analysis. The familiarity of participants with their own equipment and the positive feedback regarding bicycle fit and feel may outweigh any challenges faced with implementing this performance assessment. Based on our experience in this project, we recommend using mean power output during a TT as the performance outcome variable rather than TTC. We also suggest extensive piloting of the TT course and protocols to ensure all important factors are kept constant between conditions. Further, we recommend giving participants written instructions to avoid any changes to their equipment and checking all aspects of the bicycle setup (including tires) on the day of the trial.

Additionally, we would recommend researchers employing a repeated measures design use participant's actual body mass on the day of each trial as RW. Since the RacerMate One™ software accurately models differences in RW, potential benefits from decreased body mass on cycling speed, especially during uphill sections of a course, should be captured by the performance assessment.

#### Nutrition Intervention

A multi-week nutrition intervention like the one applied in the present study requires considerable labor and time from the investigators as well as personal investment from participants. The following section discusses insights and considerations regarding the nutritional intervention.

#### Diet Tracking and Meal Planning.

Following dietary interventions like the ones employed in the present study requires careful tracking of nutrition intake and exercise energy expenditure. The participants in our study provided verbal feedback that tracking their dietary intake and finding foods to match the macronutrient requirements for each diet added a sizeable burden to their daily routines. With this in mind, it is unsurprising that less than 20% of recreational cyclists regularly track their nutritional intake (unpublished data from a survey study conducted in our laboratory). In fact, in our pre-study screening questionnaire, none of the participants in the present study reported tracking total energy intake or macronutrients nor following a specific diet. It stands to reason that keeping a record of dietary intake and planning meals to achieve certain nutritional goals might create a steep barrier for recreational athletes trying to follow HC or KD.

#### Diet Adherence.

Our three-day dietary records indicated that participants followed the intervention diets as prescribed, with the exception of higher-than-desired protein intake during the KD (Table 3). Yet, based on levels of BHB in urine and blood during the KD, participants met our requirement of being in a ketogenic state. Based on verbal and written feedback from our participants, even with the daily feedback they received from the RD, participants struggled to find high-fat foods that limited their intake of protein. However, it appears that the protein intake in our KD condition ( $26.0 \pm 2.9\%$  of total energy intake) was similar to what other studies have reported when participants were allowed to consume protein *ad libitum* (53-55). Thus, allowing *ad libitum* intake of protein during the KD condition appears to be a practical way to reduce the burden on participants to find low-protein high-fat foods. To control for the effect of changes in fat-free body mass, which could have an impact on exercise performance, we suggest measuring body composition following each diet, if resources allow it. In the present study, equipment availability prohibited us from performing these measurements.

Similarly, participants reported struggling to consume the high percentage of CHO to fulfill the requirements of the HC without resorting to sugary drinks and foods. This could be one reason why our own findings and those of other researchers (56), that free-living recreational EA consume less CHO than what is recommended for optimizing performance (1).

The strongest experimental design regarding diet adherence would include supplying food for participants throughout the study. This would take the burden of diet tracking and meal planning off the participants. However, with a free-living cohort such as ours, this is difficult and costly.

#### Blinding.

Blinding of participants to the study condition is impossible in a study design like the present. Participants' effort during training and performance assessment could be influenced by preconceived opinions about the interventions employed. Recent research has shown that recreational EA are more aware of the effects of CHO intake before, during, and after events than the general public (57). Thus, participants might have expected to perform worse during the KD. This became apparent in the present from verbal comments by the participants, who mentioned not looking forward to completing the KD condition. Additionally, during the KD, they reported feeling like they could not produce the same amount of power and fatiguing more quickly during training rides. One participant completed the TT approximately 13 min slower during the KD than during the HD and HC. This participant specifically expressed feeling fatigued during the KD. It is unclear whether a preconceived notion of the KD on endurance performance might have impacted the participant's effort during the TT or whether the participant truly experience such strong effects of the diet.

#### Statistical Analysis

#### Sample Heterogeneity and Statistical Power.

Our goal for the present study was to collect data from men and women across a wider age-range than previously reported in the literature. However, this has important implications on statistical power. Based on our analysis of the Texas State Time Trial Championships, finishing times and standard deviations of the top 10 athletes in male and female age groups up to 55+ years old (61  $\pm$  6 min) was similar to pilot work on the CompuTrainer® course in our own lab (60  $\pm$  6). However, our final sample comprised athletes with much greater heterogeneity in the main performance outcome. This sample heterogeneity has a drastic impact on statistical power in a frequentist framework (58, 59).

We attempted to limit sample heterogeneity by requiring minimum training experience and distance along with a  $\dot{V}O_2$ max criterion for enrollment in the study. Average TTC was similar to what we expected, but standard deviations in our sample ranged from 8.0 min (HD) to 13.2 min (KD). Simply raising the standard deviation in our power analysis from 6.0 to 10.2 (average of our observed standard deviations), while leaving all other parameters the same

would decrease statistical power for the omnibus test with 30 participants from 90% to 45%. One avenue to further limit this heterogeneity and increase statistical power, would be employing a TT as part of the screening process to ensure participants can complete the course in a predetermined maximal time or at a predetermined minimal average power output. This trial could also serve as a familiarization trial for participants to become accustomed to the laboratory and the bike setup.

#### Analysis Options.

A common strategy to analyze data like the present is to employ repeated measures analysis of variance (RM-ANOVA). However, other fields including psychology, biology, and medicine, have transitioned to using linear mixed-effects models (LMM) for designs similar to ours (60). In the following section we present different analysis options for our primary outcome (TTC) and for one example of a secondary outcomes (CHOox). To avoid reporting inferential statistics based on observed data of our primary outcome, we used simulated data to show the different analysis options. All simulations and analysis scripts can be found here: <a href="https://osf.io/ujx6e/">https://osf.io/ujx6e/</a>.

We investigated the outcome of three statistical methods to analyze our primary outcome (TTC) with simulated data based on the following parameters using the *faux* package in R (61):

n = 18 HD:  $\mu$  = 61.0 min;  $\sigma$  = 8.0 min HC:  $\mu$  = 60.0 min;  $\sigma$  = 9.0 min KD:  $\mu$  = 62.5 min;  $\sigma$  = 10.5 min

These parameters are loosely based on our actual data in combination with the practically meaningful effect size of 90 seconds discussed above. The three methods investigated were: 1) LMM using the *lme4* package, 2) standard RM-ANOVA using the *afex* package, and 3) analysis of covariance (ANCOVA), as recommended by Senn (62) using the *rstatix* package (63). As an example of the secondary outcome analysis, we chose observed data for CHOox and analyzed them using 1) LMM and 2) condition x time RM-ANOVA. Inferential statistics for all analyses are shown in Table 5.

**Table 5.** Inferential statistics for different analysis options.

Outcome and model	NumDF	DenDF	F	р
TTC				
LMM	2	34	6.06	0.006
RM-ANOVA	2	34	6.06	0.006
ANCOVA (BASE)	1	33	533.29	<0.001
ANCOVA (COND)	1	33	8.12	0.007
CHOox				
LMM				
COND	2	69	118.18	<0.001
TIME	4	69	6.86	<0.001
COND X TIME	8	69	1.18	0.326
RM-ANOVA				
COND	2	8	100.76	<0.001
TIME	4	16	4.02	0.019
COND X TIME	8	32	1.54	0.184

NumDF = numerator degrees of freedom; DenDF = denominator degrees of freedom; LMM = Linear mixed-effects model; RM-ANOVA = repeated measures analysis of variance; ANCOVA = analysis of covariance; BASE = baseline time from HD trial; COND = condition; TTC = time to completion; CHOox = carbohydrate oxidation

To further analyze statistical outcomes of these strategies, we investigated pairwise comparisons of the estimated marginal mean differences using the *emmeans* and *statix* packages. Results for TTC are shown in Table 6. We used a Holm correction for multiple comparisons and a Bonferroni correction for the 95% confidence intervals reported.

Table 6. Estimated mean differences (EMD) for time to completion (TTC) between conditions

Comparison and model	DF	t	EMD	95%CI	p
HD – HC					
LMM	34	1.99	1.28	-0.34, 2.90	0.109
RM-ANVOA	17	3.07	1.28	0.18, 2.39	0.021
ANCOVA	-	-	-		-
HD – KD					
LMM	34	-1.48	-0.95	-2.57, 0.67	0.149
RM-ANOVA	17	-1.37	-0.95	-2.79, 0.89	0.187
ANCOVA	-	-	-	-	-
HC - KD					
LMM	34	-3.47	-2.23	-3.86, -0.61	0.004
RM-ANOVA	17	-2.90	-2.23	-4.28, -0.19	0.021
ANCOVA	33	2.85	-2.23	-3.83, -0.64	0.007

DF = degrees of freedom; t = t ratio; EMD = estimated mean difference; 95%CI = 95% confidence limits; LMM = Linear mixed-effects model; RM-ANOVA = repeated measures analysis of ANCOVA = analysis of covariance;

Results for the pairwise comparisons and estimated mean differences between time points is shown in Table 7. For pairwise comparisons by time point, we have limited the table to those that were statistically significant in at least one analysis strategy. Full results can be found using the analysis script at <a href="https://osf.io/ujx6e/">https://osf.io/ujx6e/</a>.

**Table 7.** Estimated mean differences (EMD) for carbohydrate oxidation (CHOox) between conditions and time points.

Comparison and model	DF	t	EMD	95%CI	Р
CONDITION					
HD - HC					
LMM	69	-4.09	-0.42	-0.66, -0.17	<0.001
RM-ANVOA	4	-3.41	-0.39	-0.83, 0.06	0.027
HD – KD					
LMM	69	10.86	1.11	0.86, 1.37	<0.001
RM-ANOVA	4	10.15	1.15	0.70, 1.60	0.001
HC - KD					
LMM	69	14.90	1.53	1.28, 1.78	<0.001
RM-ANOVA	4	13.78	1.54	1.10, 1.98	0.001
TIME					
3km – 9km					
LMM	69	3.57	0.47	0.09, 0.85	0.005
RM-ANOVA	4	2.63	0.45	-0.51, 1.41	0.525
3km – 15km					
LMM	69	4.25	0.56	0.18, 0.94	0.001
RM-ANOVA	4	2.61	0.47	-0.54, 1.47	0.525
3km – 21km					
LMM	69	4.45	0.58	0.20, 0.96	<0.001
RM-ANOVA	4	2.32	0.48	-0.68, 1.64	0.570
3km – 27km					
LMM	69	3.98	0.53	0.15, 0.92	0.001
RM-ANOVA	4	3.25	0.39	-0.82, 1.06	0.314

DF = degrees of freedom; t = t ratio; EMD = estimated mean difference;

All three strategies result in similar omnibus test for TTC leading to the same inferential interpretation. As expected, the results for TTC were nearly identical between models. Interestingly, there were important differences in the comparisons for estimated marginal mean differences. While the point estimates for mean differences between conditions were exactly the same for LMM and RM-ANOVA, the 95% CI differed considerably, leading to a

<sup>95%</sup>CI = 95% confidence limits; LMM = Linear mixed-effects model;

RM-ANOVA = repeated measures analysis of variance; ANCOVA = analysis of covariance;

different inferential interpretation (see Table 6.) The RM-ANOVA yielded a statistically significant difference between HD and HC, whereas the LMM did not. Confidence intervals were wider in the LMM for the HD and HC comparison only, but narrower for the other comparisons. One downside to the ANCOVA approach is that it only allowed for pairwise comparison between HC and KD, since the TTC HD trial was used as a covariate.

When analyzing CHOox, the omnibus tests for both models indicated main effects for condition and time without an interaction. However, only the LMM showed significant differences in the follow-up pairwise comparisons. The results for *post hoc* comparison of estimated marginal means in the LMM indicated significant difference when comparing CHOox at the 3km mark in the TT compared with all other time points. Interestingly, while the omnibus test for the RM-ANOVA did indicate a main effect for time, none of the follow-up pairwise comparisons were statistically significant.

Based on this analysis, we suggest researchers explore the option of using an LMM in similar designs. The LMM as applied here allows for a random intercept for each participant; further benefits of LMM allow the specification of additional random effects (e.g., participant-level slopes) and using multiple imputation to handle missing data (64) as employed in our analysis of the muscle ultrasound data. When deciding between an RM-ANOVA and an ANCOVA, researchers should consider the study design and research questions. In the present study, we chose the LMM over ANCOVA to allow for the pairwise comparison of all three conditions. It could be argued, that an ANCOVA approach would have been prudent, since we did not control diet in the HD condition; thus, the HD condition would have lent itself as a true baseline test used as a covariate in the comparison of HC and KD. However, we believe that this also allowed a true comparison of a truly habitual condition compared to two controlled conditions.

#### Performance

To avoid any inferential interpretation of our TTC data, we will discuss our results in directional terms only. Our data suggests similar trends to the studies of Burke et al. (19, 20) in elite racewalkers. Those studies showed improvements in 10-km race walk finishing times in HC conditions with decrements in performance in the KD condition; those performance trials were approximately 15 min shorter than ours and likely completed at a similar or higher relative intensity. In contrast, McSwiney et al. (22) showed a greater improvement in a 100 km TT in the KD group compared with the HC group following a 12-week nutritional intervention. Similarly, in a crossover study, Lambert et al. (7) reported greater TTE in a moderate-intensity cycling task (50% of PPO) following two weeks of KD compared with two weeks of HC. In the same study TTE in a high-intensity cycling task (85% of PPO) was greater following HC compared with KD. In the present study, participants cycled at 65.7  $\pm$  10.9 %, 59.7  $\pm$  15.0%, and

 $69.0 \pm 12.2\%$  PPO in the HD, KD, and HC conditions respectively. Thus, it appears that EA might benefit or see no decrements from a KD during longer, lower-intensity events; during shorter, higher-intensity tasks, exercise performance appears to be impaired secondary to decreased economy/efficiency (19, 26). A recent review by McSwiney et al. (65) details the effect of KD on a variety of exercise tasks across different populations.

#### Physiological Responses

#### Oxygen Consumption

While elite cyclists can maintain relative intensities of > 90% of  $\dot{V}O_2$ max (66), we expected our participants to perform at intensities > 70%  $\dot{V}O_2$ max during our TT. The lower-than-expected relative intensities achieved during the TT, especially during KD, was in part driven by a single participant, who completed the KD TT at < 30%  $\dot{V}O_2$ max. After removal of this participant's data, average  $\dot{V}O_2$  was  $66.2 \pm 8.9$  %,  $64.4 \pm 6.7$  %, and  $65.9 \pm 4.4$  % during the HD, KD, and HC conditions respectively. This was still lower than the relative exercise intensity achieved during a similar TT in a study by Coyle et al. (66); however, their "good state" cyclists were more highly trained than our cohort.

#### Substrate Utilization

CHOox in our sample was greatest during the HC condition and lowest during the KD condition with the opposite pattern emerging for FATox. This is similar to what has been reported in other investigations (6, 16–24). FATox rates during the KD in the present study were lower  $(0.60 \pm 0.15 \text{ g/min})$  compared with data from Carey et al. (6), who reported FATox rates of 1.06  $\pm$  0.29 g/min to 1.16  $\pm$  0.32 g/min during the first 60 min of a 4-hour cycling task at similar intensities to our TT (65% VO<sub>2</sub>max). Participants in that study ate a breakfast containing 3 g/kg BM of CHO and ingested a glucose solution every 30 min during exercise. It is important to consider that participants in the study by Carey et al. performed exercise at a constant load/intensity, whereas participants in the present study attempted to complete the TT as quickly as possible. FATox during KD in our study was similar to that reported by Prins et al. (23), who also employed a TT task, albeit using a different mode of exercise (running) for a shorter duration (5 km; ~20 min) at higher relative intensities (84.2 ± 8.0% VO₂max); during the TT performed on day 14 of their study, Prins et al. reported FATox rates of  $0.71 \pm 0.23$  g/min. Removing our participant, who worked at a noticeably lower relative intensity during KD and thus expended less total energy, FATox rates in the present study averaged  $0.68 \pm 0.12$  g/min. FATox rates dropped to  $0.14 \pm 0.05$  g/min during HC, similar to what was reported by Prins et al.

#### Perceived Exertion

RPE during the TT was similar in all conditions in the present study, and increased steadily throughout the performance tests. Thus, participants perceived the same amount of exertion while working at a lower power output during the KD compared with the HD and HC. This was in accordance with verbal feedback provided by our participants, who reported feeling fatigued and unable to produce their usual power outputs during the TT as well as during their training sessions outside the lab. Stepto et al. (24) similarly reported higher RPE throughout nonlaboratory training in their KD condition and during laboratory testing on Day 4 of the KD.

#### Muscle Ultrasound

Despite initial validation studies showing a strong correlation between MuscleSound® estimates of muscle glycogen content and direct measurements via muscle biopsy (29, 43), some researchers have questioned the utility of this technique (45). Routledge et al. (45) were unable to detect changes in MuscleSound® score in response to an 80-minute competitive rugby league game (Study 1) nor in response to glycogen-depleting cycling protocol followed by 36 hours of low compared with high CHO intake (Study 2), while glycogen content measured by biopsy decreased significantly in both studies. In the present study, MuscleSound® Session Fuel Percentile (SFP) appeared to be sensitive to both diet (lowest in KD) and exercise (lowest post TT). It is unclear, which MuscleSound® measure Routledge et al. (45) employed and whether SFP was available as an analysis option in the MuscleSound® cloud application at the time of that study. While we did not measure muscle glycogen content directly, and thus cannot speak to the relationship between SFP and muscle glycogen directly, we believe that SFP is a measure that is sensitive enough to detect changes induced by exercise and diet. Due to its non-invasive nature and ease of application, this ultrasonic technique appears to be a valuable tool that allows athletes and practitioners to estimate muscle "fuel" changes in response to dietary and exercise interventions.

#### Conclusions

We found that participants completed a simulated 30-km TT at the lowest mean power output following two weeks of the KD. We also showed that FATox was greatest during the TT following KD and lowest following HC. Further, MuscleSound® SFP, an estimate of muscle "fuel" was lower following KD compared to HD; additionally, SFP was lower following the TT compared to fasted baseline measures and 3-hour post-meal measures. In summary, while this study did not achieve the desired sample size to make inferential claims about the effect of the KD and HC on endurance exercise performance, we believe that the insights gained from

our work could be valuable to other researchers, athletes, and practitioners. We argue that allowing participants to use their own bicycles for studies like this on a cycle ergometer such as the CompuTrainer® reduces learning effects and minimizes the need for familiarization; further, it provides a valid measurement of endurance exercise performance, as long as standardization protocols are followed and appropriate outcome measures (e.g., mean power output during a TT) are selected. Further, we contend that employing LMM should be the preferred analysis technique for repeated measures design in a frequentist framework. LMM offer the option to include random intercepts at the participant level, which allows modeling of inter-individual response differences better than using a fixed intercept. Further, LMM allow multiple imputation of missing data, providing a route for researchers to use partial data for participants rather than being forced to delete data listwise, as is typically done using RM-ANOVA. Depending on the study design and research question, ANCOVA with baseline performance as the covariate also offers a valid analysis strategy. Finally, we believe that using muscle ultrasound for a determination of muscle "fuel" using the MuscleSound® SFP offers a valuable and easy-to-use tool for practitioners and athletes.

#### Practical Applications

From a practical perspective, following strict diets in the long-term adds considerable burdens to recreational athletes' lives. Thus, a more reasonable approach might be to "fuel for the work required", as proposed by Impey et al. (67). In this paradigm, athletes base their CHO requirements on the work anticipated and/or performed on a given day. Often, recreational cyclists will complete longer training sessions (five to six hours) on weekends and more intense sessions on one or two days during the week. To minimize the added labor and stress of daily macronutrient and energy tracking, athletes could increase CHO intake on the day prior to and during longer and/or more intense training sessions, while eating entirely ad libitum on days with easier rides. Recreational athletes using power meters, could calculate energy expenditure based on the average power produced during a ride. In fact, most exercise tracking applications, which are popular among this population, already provide energy expenditure measures based on actual work performed when power meter data are included. Those who do not use power meters, could use heart rate and/or the talk test to estimate energy expenditure and exercise intensity (68, 69). These calculations would allow recreational athletes to fuel longer and harder sessions adequately, while not needing to invest the time and energy to plan and track dietary intake on shorter and easier days.

Single-session CHO restriction for certain low to moderate intensity workouts, i.e., "training low", has been shown to be effective in augmenting gene expression, cell signaling, and oxidative enzyme activity related with improved endurance performance (67, 70). These strategies might be more feasible and sensible for elite athletes, who typically work with

nutrition professionals and often have already optimized all other aspects of their training and racing. However, recreational cyclists looking to use this strategy could implement a higher intensity training session in the morning followed by CHO restriction and a lower intensity training session in the evening (70).

In summary, recreational athletes looking to improve their cycling performance using nutrition interventions might be better served by focusing on "fueling for the work required" (67) and interspersing occasional training session with low CHO availability than by trying to implement a daily diet designed to restrict or enhance the intake of CHO.

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#### **Contributions**

Contributed to conception and design: AK, AJG, PPR, JLW, MS Contributed to acquisition of data: AK, AJG, PPR, KM, GRA Contributed to analysis and interpretation of data: AK, AJG, MS

Drafted and/or revised the article: AK, AJG, PPR, KM, GRA, JLW, RB-T, MS

Approved the submitted version for publication: AK, AJG, PPR, KM, GRA, JLW, RB-T, MS

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# **Data and Supplementary Material Accessibility**

All data and analysis code used for this manuscript are available at <a href="https://osf.io/ujx6e/">https://osf.io/ujx6e/</a>

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